

1 **Non-pathogenic *Fusarium oxysporum* endophytes provide field control of nematodes,**
2 **improving yield of banana (*Musa* sp.)**

3
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9
10 **Abstract**

11
12 Endophytic colonization by the fungus *Fusarium oxysporum* can result in increased host
13 resistance to pests and diseases, and greater biomass production. However, few studies have
14 assessed the field performance of this fungus for biological control of pests and diseases in
15 banana. Further to greenhouse assessment, studies were carried out to evaluate the performance
16 of *F. oxysporum* strains against plant-parasitic nematodes on banana (*Musa* sp., cv. Giant
17 Cavendish and cv. Grand Nain) in the field using tissue-cultured plants. Plants were inoculated
18 separately with one of three strains (*V5W2*, *Eny 7.11o* and *Emb2.4o*) before being inoculated
19 with *Pratylenchus goodeyi* and *Helicotylenchus multicinctus* in an on-station trial and in an on-

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20 farm trial planted in a field naturally infested with the same nematodes. All three endophytic
21 strains significantly suppressed *P. goodeyi* and *H. multicinctus* densities and damage in the field.
22 On-station, nematode population densities were reduced by >45% in endophyte-inoculated plants
23 compared to non-inoculated plants, while percentage root necrosis was reduced by >20%.
24 Similarly, on-farm, nematode damage to roots and densities were also significantly lower in
25 endophyte-inoculated plants compared with control plants. Significantly improved yields were
26 observed for plants inoculated with endophytes when compared to the control plants, with
27 inoculation with strains *Emb 2.4o* and *V5W2* resulting in up to 35% and 36% increased banana
28 yields, respectively, for the on-station trial. For the on-farm trial, up to 20% increase in yields
29 were observed for strain *Eny 7.11o* compared to control plants. This study provides the first
30 report from the field in Africa on the reduction of nematode populations and damage, and the
31 increase in banana production by fungal endophytes. The study shows that endophytes have
32 potential to enhance yields of tissue-cultured banana plants and protect them against pests.

33

34 *Keywords:*

35 Africa

36 Biological control

37 Endophyte inoculation

38 Microbial antagonist

39 Plant-parasitic nematode

40 Tissue culture

41

42 **1. Introduction**

43

44 Bananas (*Musa* sp.) are produced in many districts of Kenya. In the higher altitude western
45 regions of Kenya, the East African highland bananas (genome group AAA-EA, cv. Matooke and
46 cv. Mbidde) are most common, while in the central and eastern highlands and coastal areas,
47 dessert cultivars (genome group AAA) are the most popular, especially cv. Cavendish and cv.
48 Gros Michel (Wambugu and Kiome, 2001). Banana production is faced by a number of
49 constraints, although a complex of plant-parasitic nematodes, banana weevils (*Cosmopolites*
50 *sordidus* (Germar)), poor agronomic practices, diseases and poor soil fertility combine to
51 adversely affect yields in Kenya (Inzaule et al., 2005) and East Africa (Blomme et al., 2013).
52 Progressive yield decline in plantations of banana is a problem in the small plots of resource-
53 limited farmers of East, Central and West Africa. Plant-parasitic nematodes cause serious crop
54 losses worldwide and are among the most important agricultural pests (Koenning et al., 1999).
55 Several species of plant-parasitic nematodes have been associated with banana in Kenya. The
56 most important are *Pratylenchus goodeyi* (Cobb) Sher and Allen, *Helicotylenchus multicinctus*
57 (Cobb) Golden and *Meloidogyne* spp., which have a varied distribution (Kung'u, 1995; Seshu
58 Reddy et al., 2007). Yield losses associated with banana nematodes range between 30-60%
59 (Brooks, 2004). Roots damaged by nematodes are less able to supply plants with needed water
60 and nutrients. This damage slow plant growth, lengthen the time to fruiting, reduce bunch weight
61 and decrease the productive life of the plantation. Top-heavy plants may topple due to poor
62 anchorage from damaged root systems.

63 The key to high banana productivity lies in the effective management of pests and diseases.

64 The management of nematodes is often more difficult than that of other pests because nematodes

65 attack the underground parts of the plants (Stirling, 1991). Although chemical nematicides are
66 effective, easy to apply and show rapid effects, concerns about public health and environmental
67 safety have been raised (Schneider et al., 2003). Furthermore, nematicides are often too costly
68 and difficult to access for smallholder farmers. Therefore, efficient approaches to control
69 nematodes that utilize a range of biological control options are needed (Sikora et al., 2003).

70 The potential of non-pathogenic *Fusarium oxysporum* (Schlecht.: Fries), naturally occurring
71 within banana plants as endophytes, has gained attention as an alternative to nematicides (Sikora
72 et al., 2003; Athman et al., 2006; Dubois et al., 2006). There are a number of ways through
73 which endophytes are reported to protect host plants against nematodes, including: improvement
74 of plant physiology through, for example, enhanced tillering and root growth, and increased
75 drought tolerance (Malinowski et al., 1997; Elmi et al., 2000); induction of systemic resistance
76 (Paparou et al., 2006; Vu et al., 2006; Paparou et al., 2013); and production of nematicidal
77 metabolites (Cook and Lewis, 2001; Dubois et al., 2004; Athman et al., 2006).

78 In East Africa, banana plants are increasingly being produced through tissue culture by small-
79 to medium-sized private companies. The technology has great potential in Kenya, although pests
80 and disease re-infestation in the field may hamper its uptake by smallholder farmers, because
81 tissue-cultured plantlets are more fragile than conventional planting material (Kabunga et al.,
82 2012a,b; Dubois et al., 2013; Niere et al., 2014). Enhancement of tissue-cultured plantlets with
83 *F. oxysporum* endophytes is especially promising from a commercial point of view, since the
84 endophytes are introduced into the plants before they are sold to farmers and the know-how is
85 easily transferable to a commercial tissue culture laboratory.

86 Research in Costa Rica, Kenya and Uganda (Dubois et al., 2006; Pocasangre et al., 2007;
87 Zum Felde, 2008; Machungo et al., 2009) has shown that endophytes are adapted to local

88 conditions and that, therefore, locally isolated endophytes may be more suitable in controlling
89 nematodes. In Kenya, fungal endophytes originating from Kenya and Uganda have been
90 demonstrated to lower populations of banana nematodes (*P. goodeyi* and *H. multicinctus*) both *in*
91 *vitro* (Mwaura et al., 2009; 2010) and in the greenhouse (Machungo et al., 2009; Waweru et al.,
92 2013). However, the potential of endophytic *F. oxysporum* to effectively manage banana
93 nematodes in the field as a biological control agent has yet to be clearly demonstrated, with only
94 a conference abstract (Pocasangre et al., 2006) and an MSc dissertation (Menjivar Barahova,
95 2005) reporting on field results of banana inoculated with *Fusarium oxysporum* endophytes in
96 Costa Rica.

97 Thus, the objectives of the current study were to evaluate the efficacy of locally adapted
98 endophytic strains inoculated into tissue-cultured banana plants for biological control of banana
99 nematodes (*P. goodeyi* and *H. multicinctus*) in the field, using both on-station and on-farm trials.

100

101 **2. Materials and methods**

102

103 *2.1. Site description*

104 Two trials were conducted in Kenya between 2007-2009, one on-station at Jomo Kenyatta
105 University Agriculture Technology (JKUAT) farm, Juja (1,537 m above sea level, 01°05'25.6"S,
106 037°00'45.5"E), and one on-farm in Maragua district, a key banana production area (1,346 m
107 above sea level, 00°47'17.3"S, 037°08'18.3"E).

108

109 *2.2. Experimental design*

110 The endophytic *F. oxysporum* strains V5w2, *Emb.2.4o* and *Eny 7.11o*, originally isolated from
111 East African highland cooking banana plants in Uganda (Schuster et al., 1995), were used as they
112 were identified as the most effective strains against *R. similis* *in vitro* and *in vivo* in Kenya and
113 Uganda (Athman et al., 2006; Mwaaura et al., 2009, 2010; Machungo et al., 2009). The trials
114 included two factors: banana cultivar and endophyte treatment. Cultivars included the dessert
115 bananas cv. Giant Cavendish and cv. Grand Nain. Endophyte treatments included the three *F.*
116 *oxysporum* endophytes (V5w2, *Emb.2.4o* or *Eny 7.11o*) and a negative control (non-inoculated
117 plants), yielding a total of eight treatments. On-station, nine plants were used per treatment,
118 which were all inoculated with nematodes. On-farm, fifteen plants were used per treatment but
119 not inoculated with nematodes because fields were already found to be infested with nematodes.
120 Both trials were laid out in split-plot design where the main plot factor was cultivar and the
121 subplot factor was endophyte treatment.

122

123 2.3. Plant preparation and endophyte inoculation

124 Two month old tissue-cultured plants (post-weaning stage) of each cultivar were obtained
125 from JKUAT's tissue culture laboratory in Nairobi, Kenya. The plants were micropropagated
126 using a standard shoot-tip culture protocol for banana according to Vuylsteke (1998). Upon
127 deflasking, plant roots were rinsed free of media in tap water and selected for uniformity in size
128 before planting in weaning trays (60 × 30 cm). Plants were allowed to grow for one month before
129 being transferred to 3 l plastic potting bags (5 × 9 × 4 cm) containing steam-sterilized sandy
130 loam soil. The plants were watered daily and maintained on raised benches in a greenhouse for
131 one month before endophyte inoculation. Inoculation of plants with endophytes and nematodes
132 was carried out according to Machungo (2009).

133

134 2.4. Preparation of fungal inoculum

135 For each strain, pieces of filter paper containing mycelium were plated on fresh synthetic
136 nutrient agar (SNA) (1 g KH_2PO_4 , 1 g KNO_3 , 0.5 g $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$, 0.5 g KCl , 0.2 g glucose, 0.2 g
137 sucrose, 0.6 ml NaOH (1 M), 13.2 g agar, 0.1 g penicillin G, 0.2 g streptomycin sulphate and
138 0.05 g chlortetracycline/l SDW) in 90 mm diameter Petri dishes in the laboratory at room
139 temperature ($\sim 25^\circ\text{C}$) under sterile conditions. The Petri dishes were maintained under a natural
140 photoperiod of (12:12 h; L:D) for 7-10 to allow sporulation. For each strain, four blocks of SNA
141 ($\sim 0.5 \text{ cm}^3$) containing mycelia and spores were inoculated into 100 ml sterile potato dextrose
142 broth (PDB) medium (12 g PDB/l SDW; Sigma-Aldrich, St. Louis, USA) in a 250 ml
143 Erlenmeyer flask, and the medium was incubated for 7 days. To obtain a solid substrate, 200 g of
144 maize bran was placed in 500 ml Erlenmeyer flasks, moistened by adding water, autoclaved for
145 30 min at 121°C and allowed to cool overnight before re-autoclaving for 30 min. Thirty ml of the
146 fungal suspension was transferred into each of the flasks with maize bran, and the medium was
147 incubated for 10 days at room temperature. Non-inoculated maize bran was used for the control
148 treatment. The spore concentration in the solid substrate was standardized to 3.3×10^6 spores/ml
149 and plants were inoculated by adding 2 g maize bran containing the fungal inoculum into three 4
150 cm deep pencil-made holes around the plant roots. Holes were covered with soil and plants were
151 maintained in the greenhouse.

152

153 2.5. Nematode inoculation

154 At the onset of both trials, soil sampling was carried out to assess the densities and species of
155 nematodes in the soil. Ten ~ 200 g soil samples were randomly collected within each field.

156 Samples from the same field were combined to make a composite sample. The samples were
157 placed in plastic bags and transported to the laboratory for nematode extraction. Nematodes were
158 extracted from a 100 g subsample using the Baermann tray method (McSorley, 1987). Nematode
159 species were identified using morphological characteristics.

160 In the on-station site, nematode species that are known to attack banana were absent.
161 Therefore, roots were obtained from visibly diseased banana plants in a nematode-infested
162 plantation as follows. A hole measuring approximately $5 \times 5 \times 5$ cm was excavated at 10 cm
163 distance from the base of the mother plant. All banana roots showing symptoms of nematode
164 damage were selected and used for nematode extraction. These roots were cut to ~1 cm in length,
165 thoroughly mixed, and nematodes extracted from a 25 g subsample using a modified Baermann
166 tray method (Hooper et al., 2005) over a 24 h period. The suspensions were reduced to 5 ml and
167 nematode densities estimated from a 1 ml subsample. Each plant was inoculated with 1,200
168 nematodes/plant (95% *Pratylenchus goodeyi*, 3% *Radopholus similis* (Cobb) Thorne and 2% *H.*
169 *multicinctus*) four weeks after endophyte inoculation into three 4 cm deep pencil-made holes
170 around the plant. Holes were covered with soil and plants were maintained in the greenhouse.

171 Plants for the on-farm trial were not inoculated with nematodes. Nematode populations in the
172 soil of the on-farm trial averaged 373/100 g of soil (96 *P. goodeyi*, 174 *H. multicinctus* and 103
173 *Meloidogyne* sp.).

174

175 2.6. Planting

176 Nine month old plants were planted in the field in mid-May (on-station) and mid-November
177 (on-farm) 2007. Plants were placed in holes measuring 1×1 m and spaced at 3×3 m between
178 and within the rows, respectively, and covered by a mixture of topsoil with 40 kg of compost

179 manure. Compost manure (40 kg/plant) was also added five months after planting. Weeds were
180 controlled manually as necessary to maintain weed-free plots. The fields were irrigated
181 depending on weather conditions: during the dry seasons, irrigation was conducted thrice weekly
182 (20 l/plant).

183

184 *2.7. Plant growth assessment*

185 Plant height (distance from the base of the plant to the point of the youngest leaf emergence),
186 girth of the pseudostem (measured at base of the plant) and number of healthy functional leaves
187 (leaves were considered healthy when >75% of the leaf area was green as opposed to yellow,
188 brown or dry), were measured on a monthly basis. At harvest, yield (t/ha), bunch weight, number
189 of hands and number of fingers per bunch were determined. Bunches were considered mature
190 when fingers of the second hand attained a round shape. The number of days to flowering and
191 harvest was recorded for each plant. Data were collected for the first crop cycle of each trial
192 only. No toppling was observed in either trial. Yield (kg/ha/year) was calculated as: average
193 bunch weight/treatment \times percentage of plant harvested/treatment \times plants/ha (1,111 plants/ha) \times
194 (365/number of days from planting to harvest).

195

196 *2.8. Nematode densities and damage assessment*

197 Root samples were collected at 3, 6, 9 and 12 months after transplanting (MAT) to assess for
198 nematode damage and population. A hole measuring 5 \times 5 \times 5 cm was excavated at 10 cm
199 distance from the base of the mother plant. All banana roots within the hole were collected, and
200 five roots were randomly selected and used to assess for nematode damage according to Speijer
201 and De Waele (1997). Nematode damage was expressed as percentage necrotic root tissue. The

202 five roots were cut to a length of 10 cm, sliced length-wise and the percentage root cortex
203 showing necrosis of each root estimated to a maximum of 20% each. The percentage root
204 necrosis comprised the sum of each of the five pieces. These roots were further cut to ~1 cm in
205 length, thoroughly mixed, and nematodes extracted from a 25 g subsample using the modified
206 Baermann tray method (Hooper et al., 2005) over 24 h. The suspensions were reduced to 5 ml
207 and nematode densities estimated from a 1 ml subsample. *Pratylenchus goodeyi* and *H.*
208 *multicinctus* were counted per life stage (female, male and juvenile).

209

210 2.9. Data analysis

211 Nematode counts were $\log_{10}(x+1)$ -transformed, while percentage nematode damage data were
212 arcsine-square root-transformed before analysis of variance (ANOVA). Plant growth and yield
213 data were left untransformed prior to ANOVA. A generalized linear model was used to test for
214 factor effects and their interactions. When factor interactions were significant, effects of one
215 factor were analyzed at each level of the other factor. Means were separated using least
216 significant difference tests (LSD) (SAS Institute, 2001).

217

218 3. Results

219

220 3.1. Plant growth and yield

221 Plant growth parameters (height, girth and number of functional leaves) were not significantly
222 different ($P>0.05$) between the two banana cultivars and neither was there a significant
223 interaction ($P>0.05$) between cultivar and endophyte treatment for either trial (Table 1).

224 Endophyte-inoculated plants exhibited enhanced growth compared to non-inoculated plants,
225 although this was not statistically significant ($P>0.05$).

226 For both trials, days to flowering and days to harvest were not significantly different ($P>0.05$)
227 between the two banana cultivars nor endophyte treatments (Table 2). There was no significant
228 interaction ($P>0.05$) between cultivar and endophyte treatment for either trial.

229 Bunch weight and yield were not significantly different between banana cultivars ($P>0.05$),
230 nor was there a significant interaction between cultivar and endophyte treatment ($P>0.05$) for
231 either trial. Therefore bunch weight and yield data were pooled across cultivars. In both trials,
232 bunch weight and yield of plants inoculated with endophytic *F. oxysporum* strains were
233 significantly higher ($P<0.05$) than those of control plants (Table 3). In the on-station trial, plants
234 treated with V5W2 produced significantly heavier bunches (22%) than untreated plants, and
235 plants treated with V5W2 and *Emb 2.4o* had significantly higher yields (36-37%) compared to
236 control plants. In the on-farm trial, *Eny 7.11o*-treated plants produced significantly heavier
237 bunches (20%) and higher yields (20%) compared to untreated plants.

238

239 3.2. Nematode damage

240 For either trial, nematode damage was not statistically significant between banana cultivars
241 ($P>0.05$), nor was there a significant interaction between cultivar and endophyte treatment
242 ($P>0.05$). Therefore, root necrosis data was pooled across cultivars for both trials. In the on-
243 station trial, endophyte inoculation significantly reduced nematode damage in inoculated plants
244 at 6 ($P<0.05$), 9 ($P<0.01$) and 12 ($P<0.005$) MAT compared with non-inoculated plants (Table
245 4). In the on-farm trial, nematode damage remained low in all treatments up to 6 MAT, but

246 became more evident over time, with significantly lower necrosis observed in endophyte-
247 inoculated plants compared with control plants at 9 MAT ($P < 0.05$) and 12 ($P < 0.005$).

248

249 3.3. Nematode population densities

250 Nematode density data were pooled across cultivars for both trials, because nematode
251 densities were not significantly different ($P < 0.05$) between cultivars, nor was there an interaction
252 between cultivar and endophyte treatment ($P > 0.05$) for either trial.

253 In the on-station trial, although endophyte non-inoculated plants contained numerically higher
254 nematode densities (*P. goodeyi* and *H. multincinctus*) at 3 and 6 MAT, the differences were not
255 significant ($P > 0.05$) among treatments (Table 5). At 9 and 12 MAT, endophyte-inoculated plants
256 had significantly fewer ($P < 0.001$) nematode densities in roots compared with non-inoculated
257 plants. No *R. similis* nematodes were recovered from the roots.

258 In the on-farm trial, few nematodes were recovered from the roots by 3 and 6 MAT, with no
259 significant differences observed in densities among treatments. At 9 and 12 MAT, endophyte-
260 inoculated plants had significantly lower ($P < 0.05$) densities of *P. goodeyi* compared with non-
261 inoculated plants. At 9 MAT, *Helicotylenchus multincinctus* densities were relatively, but not
262 significantly, lower in endophyte-inoculated plants. After 12 MAT, significant differences
263 ($P = 0.028$) were observed in *H. multincinctus* densities between endophyte-inoculated and non-
264 inoculated plants.

265 In the on-station trial, *Meloidogyne* sp. was not observed. In the on-farm trial, the population
266 of *Meloidogyne* sp. was not significantly ($P > 0.05$) different between cultivars or endophyte
267 treatments, and ranged from 325-477 at 3 MAT to 109-230 at 12 MAT.

268

269 **4. Discussion**

270

271 The current study provides strong evidence of the protective effects of fungal endophytes
272 against plant-parasitic nematodes on banana under field conditions. A significant reduction in
273 nematode densities and associated damage to banana roots was observed on-station and also in a
274 naturally infected farm following inoculation with *F. oxysporum* strains, which translated into
275 improved bunch weights and yields in the first, mother crop cycle. Use of fungal endophytes to
276 protect against plant-parasitic nematodes has previously been demonstrated in the field. Grasses
277 infected by *Neotyphodium* sp. and *Acremonium coenophialium* endophytes, for instance,
278 inhibited some species of migratory and sedentary endoparasites, leading to a higher yield in
279 *Festuca arundinacea* Schreb. plants (West et al., 1988; Bacetty et al., 2009). In other crops, non-
280 pathogenic *Fusarium* spp. strains isolated from roots reduced *Meloidogyne incognita* (Kofoid &
281 White) Chit. populations on tomato *Solanum lycopersicum* L. (Hallmann and Sikora, 1994), and
282 *Meloidogyne graminicola* Golden & Birchfield populations on rice (*Oryza sativa* L.) (Le et al.,
283 2009).

284 A number of studies have demonstrated the beneficial effect of *F. oxysporum* endophytes on
285 banana, primarily against *R. similis* (Niere, 2001; Athman et al., 2006; Dubois et al., 2006;
286 Paparu et al., 2006; Zum Felde, 2008). However, there are few studies that have assessed their
287 effect in the field. Menjivar Barahova (2005) demonstrated reduction in *R. similis* populations of
288 up to >50% in large dessert banana (cv. Valery) plantations seven months after tissue-cultured
289 plantlets had been inoculated with locally adapted *F. oxysporum* strains and planted in the field
290 in Costa Rica. These reductions are higher than what we found for *H. multincinctus* and *P.*

291 *goodeyi* 12 MAP in Kenya. Although Menjivar Barahova (2005) did not find large differences in
292 functional root weight between *F. oxysporum*- and non-inoculated banana plants, we found a
293 large reduction in root damage caused by *H. multincinctus* and *P. goodeyi*. Interestingly, Menjivar
294 Barahova (2005) observed large increases in plant height, circumference and number of standing
295 leaves, which we failed to detect statistically. However, both Menjivar Barahova (2005) and the
296 present study found that *F. oxysporum* endophytes significantly increased bunch weights and
297 yields compared to non-inoculated plants.

298 Inoculation of other hypocrealean fungal endophytes such as *Trichoderma viride* Pers. has
299 equally shown to reduce nematode populations in banana fields. Pocasangre et al. (2007)
300 reported that *R. similis* populations in Costa Rica and the Dominican Republic were reduced to
301 levels similar of those obtained by chemical control after tissue-cultured plantlets had been
302 inoculated with *T. viride*, although no effects were found in field trials in Venezuela. Also, high
303 reduction of nematodes has been demonstrated in naturally suppressive fields in Guatemala,
304 where banana plants were left uninoculated but soils were found to be colonized by high levels
305 of *F. oxysporum* and *T. viride* (Sikora et al., 2010).

306 The current study demonstrates that *F. oxysporum* endophytes, inoculated into tissue-cultured
307 banana plants, are beneficial to the farmer. Non-pathogenic endophytic *F. oxysporum* strains
308 (V5W2, *Eny7.11o* and *Emb 2.40*) reduce populations of *P. goodeyi* and *H. multincinctus*, thereby
309 reducing root necrosis, and increasing bunch weight and ultimately yield.

310 The results are derived from the first crop cycle only, however, and so as a perennial crop, the
311 benefits may extend further into following crop cycles. Nematode pests manifest over time and
312 build up on perennial crops such as banana, where they tend to be more damaging in following

313 crop cycles. Referring to *P. goodeyi* on East African highland bananas in Uganda, Speijer et al.
314 (1999) suggested that long-term studies are needed for its damage potential to be realized.

315 Improved yields following endophyte enhancement could also be attributed to growth
316 enhancement as a response to endophytic colonization. In our study, a slight increase in plant
317 height, girth of the banana pseudostems and number of functional leaves for endophyte
318 inoculated plants was observed, although these were not significant. In our study, plants
319 enhanced with endophytes were harvested sooner than untreated plants, but this was also not
320 statistically significant. In other studies, however, banana growth was stimulated following
321 inoculation with *F. oxysporum* endophytes (Niere, 2001), while Waller et al. (2005) observed
322 similar responses following *Piriformospora indica* Sav. Verma colonization of barley (*Hordeum*
323 *vulgare* L.). Endophytic fungi produce phytohormones (Tan and Zou, 2001; Nassar et al., 2005),
324 which could contribute to improved growth.

325 Although endophytic fungi have been shown to protect plants from nematode attack and
326 damage, not all nematode species are similarly affected by endophyte infection. *In vitro*
327 assessment of a range of banana nematode species showed marked differences in their reaction to
328 fungal metabolites (Van Dessel et al., 2011). In a greenhouse study, Niere et al. (1999) also
329 reported that *H. multincinctus* densities were reduced by 75% by endophytes, while other species
330 present were unaffected. In the current study, *Meloidogyne* sp. in the on-farm trial was not
331 significantly affected by the endophyte infection, by comparison to other species.

332 This study provides the first report from the field in Africa on the effects of fungal endophytes
333 on reducing nematode populations and damage, and increasing banana production. Bio-
334 enhancement of tissue-cultured plantlets with endophytic strains *V5W2*, *Eny 7.11o* and *Emb2.4o*
335 increased their protection against nematode pests, when treated prior to field release. Such

336 treatment of seedling material provides a targeted application ahead of distribution to farmers
337 and field planting, which helps to optimize use and efficiency of these biological control options.
338 In the first crop cycle, application of the endophytes led to higher bunch weights and yields of
339 banana. Further studies are needed to determine the longer-term effect of endophytes on growth
340 and yields over successive crop cycles, as well as their interaction with other factors such as
341 management practices.

342

343 **Acknowledgements**

344 The authors thank the German Ministry of Economic Cooperation and Development (BMZ)
345 for financial support through a grant to the International institute of Tropical Agriculture (IITA).
346 Technical assistance by JKUAT staff is highly appreciated. We are greatly indebted to the
347 farmers who participated in the field trial.

348

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1 **Non-pathogenic *Fusarium oxysporum* endophytes provide field control of nematodes,**
2 **improving yield of banana (*Musa* sp.)**

3

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9

10 **Abstract**

11

12 Endophytic colonization by the fungus *Fusarium oxysporum* can result in increased host
13 resistance to pests and diseases, and greater biomass production. However, few studies have
14 assessed the field performance of this fungus for biological control of pests and diseases in
15 banana. Further to greenhouse assessment, studies were carried out to evaluate the performance
16 of *F. oxysporum* strains against plant-parasitic nematodes on banana (*Musa* sp., cv. Giant
17 Cavendish and cv. Grand Nain) in the field using tissue-cultured plants. Plants were inoculated
18 separately with one of three strains (*V5W2*, *Eny 7.11o* and *Emb2.4o*) before being inoculated

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19 with *Pratylenchus goodeyi* and *Helicotylenchus multicinctus* in an on-station trial and in an on-
20 farm trial planted in a field naturally infested with the same nematodes. All three endophytic
21 strains significantly suppressed *P. goodeyi* and *H. multicinctus* densities and damage in the field.
22 On-station, nematode population densities were reduced by >45% in endophyte-inoculated plants
23 compared to non-inoculated plants, while percentage root necrosis was reduced by >20%.
24 Similarly, on-farm, nematode damage to roots and densities were also significantly lower in
25 endophyte-inoculated plants compared with control plants. Significantly improved yields were
26 observed for plants inoculated with endophytes when compared to the control plants, with
27 inoculation with strains *Emb 2.4o* and *V5W2* resulting in up to 35% and 36% increased banana
28 yields, respectively, for the on-station trial. For the on-farm trial, up to 20% increase in yields
29 were observed for strain *Eny 7.11o* compared to control plants. This study provides the first
30 report from the field in Africa on ~~the the reduction of nematode populations and damage, and the~~
31 ~~increase in banana production by fungal endophytes~~ ~~negative and positive effects of fungal~~
32 ~~endophytes on nematodes and banana production, respectively~~. The study shows that endophytes
33 have potential to enhance yields of tissue-cultured banana plants and protect them against pests.

34
35 *Keywords:*

36 Africa
37 Biological control
38 Endophyte inoculation
39 Microbial antagonist
40 Plant-parasitic nematode
41 Tissue culture

42

43 **1. Introduction**

44

45 Bananas (*Musa* sp.) are produced in many districts of Kenya. In the higher altitude western
46 regions of Kenya, the East African highland bananas (genome group AAA-EA, cv. Matooke and
47 cv. Mbidde) are most common, while in the central and eastern highlands and coastal areas,
48 dessert cultivars (genome group AAA) are the most popular, especially cv. Cavendish and cv.
49 Gros Michel (Wambugu and Kiome, 2001). Banana production is faced by a number of
50 constraints, although a complex of plant-parasitic nematodes, banana weevils (*Cosmopolites*
51 *sordidus* (Germar)), poor agronomic practices, diseases and poor soil fertility combine to
52 adversely affect yields in Kenya (Inzaule et al., 2005) and East Africa (Blomme et al., 2013).
53 Progressive yield decline in plantations of banana is a problem in the small plots of resource-
54 limited farmers of East, Central and West Africa. Plant-parasitic nematodes cause serious crop
55 losses worldwide and are among the most important agricultural pests (Koenning et al., 1999).
56 Several species of plant-parasitic nematodes have been associated with banana in Kenya. The
57 most important are *Pratylenchus goodeyi* (Cobb) Sher and Allen, *Helicotylenchus multicinctus*
58 (Cobb) Golden and *Meloidogyne* spp., which have a varied distribution (Kung'u, 1995; Seshu
59 Reddy et al., 2007). Yield losses associated with banana nematodes range between 30-60%
60 (Brooks, 2004). Roots damaged by nematodes are less able to supply plants with needed water
61 and nutrients. ~~This damage ese~~ slow plant growth, lengthen the time to fruiting, reduce bunch
62 weight and decrease the productive life of the plantation. Top-heavy plants may topple due to
63 poor anchorage from damaged root systems.

64 The key to high banana productivity lies in the effective management of pests and diseases.
65 The management of nematodes is often more difficult than that of other pests because nematodes

66 attack the underground parts of the plants (Stirling, 1991). Although chemical nematicides are
67 effective, easy to apply and show rapid effects, concerns about public health and environmental
68 safety have been raised (Schneider et al., 2003). Furthermore, nematicides are often too costly
69 and difficult to access for smallholder farmers. Therefore, efficient approaches to control
70 nematodes that utilize a range of biological control options are needed (Sikora et al., 2003).

71 The potential of non-pathogenic *Fusarium oxysporum* (Schlecht.: Fries), naturally occurring
72 within banana plants as endophytes, has gained attention as an alternative to nematicides (Sikora
73 et al., 2003; Athman et al., 2006; Dubois et al., 2006). There are a number of ways through
74 which endophytes are reported to protect host plants against nematodes, including: improvement
75 of plant physiology through, for example, enhanced tillering and root growth, and increased
76 drought tolerance (Malinowski et al., 1997; Elmi et al., 2000); induction of systemic resistance
77 (Paparou et al., 2006; Vu et al., 2006; Paparou et al., 2013); and production of nematicidal
78 metabolites (Cook and Lewis, 2001; Dubois et al., 2004; Athman et al., 2006).

79 In East Africa, banana plants are increasingly being produced through tissue culture by small-
80 to medium-sized private companies. The technology has great potential in Kenya, although pests
81 and disease re-infestation in the field may hamper its uptake by smallholder farmers, because
82 tissue-cultured plantlets are more fragile than conventional planting material (Kabunga et al.,
83 2012a,b; Dubois et al., 2013; [Niere et al., 2014](#)). Enhancement of tissue-cultured plantlets with
84 *F. oxysporum* endophytes is especially promising from a commercial point of view, since the
85 endophytes are introduced into the plants before they are sold to farmers and the know-how is
86 easily transferable to a commercial tissue culture laboratory.

87 Research in Costa Rica, Kenya and Uganda (Dubois et al., 2006; Pocasangre et al., 2007;
88 Zum Felde, 2008; Machungo et al., 2009) has shown that endophytes are adapted to local

89 conditions and that, therefore, locally isolated endophytes may be more suitable in controlling
90 nematodes. In Kenya, fungal endophytes originating from Kenya and Uganda have been
91 demonstrated to ~~control~~ lower populations of banana nematodes (*P. goodeyi* and *H. multicinctus*)
92 both *in vitro* (Mwaura et al., 2009; 2010) and in the greenhouse (Machungo et al., 2009; Waweru
93 et al., 2013). However, the potential of endophytic *F. oxysporum* to effectively manage banana
94 nematodes in the field as a biological control agent has yet to be clearly demonstrated, with only
95 a conference abstract (Pocasangre et al., 2006) and an MSc dissertation (Menjivar Barahova,
96 2005) reporting on field results of banana inoculated with *Fusarium oxysporum* endophytes in
97 Costa Rica.

98 Thus, the objectives of the current study were to evaluate the efficacy of locally adapted
99 endophytic strains inoculated into tissue-cultured banana plants for biological control of banana
100 nematodes (*P. goodeyi* and *H. multicinctus*) in the field, using both on-station and on-farm trials.

101

102 **2. Materials and methods**

103

104 *2.1. Site description*

105 Two trials were conducted in Kenya between 2007-2009, one on-station at Jomo Kenyatta
106 University Agriculture Technology (JKUAT) farm, Juja (1,537 m above sea level, 01°05'25.6"S,
107 037°00'45.5"E), and one on-farm in Maragua district, a key banana production area (1,346 m
108 above sea level, 00°47'17.3"S, 037°08'18.3"E).

109

110 *2.2. Experimental design*

111 The endophytic *F. oxysporum* strains *V5w2*, *Emb.2.4o* and *Eny 7.11o*, originally isolated from
112 East African highland cooking banana plants in Uganda (Schuster et al., 1995), were used as they
113 were identified as the most effective strains against *R. similis* *in vitro* and *in vivo* in Kenya and
114 Uganda (Athman et al., 2006; Mwaura et al., 2009, 2010; Machungo et al., 2009). The trials
115 included two factors: banana cultivar and endophyte treatment. Cultivars included the dessert
116 bananas cv. Giant Cavendish and cv. Grand Nain. Endophyte treatments included the three *F.*
117 *oxysporum* endophytes (*V5w2*, *Emb.2.4o* or *Eny 7.11o*) and a negative control (non-inoculated
118 plants), yielding a total of eight treatments. On-station, nine plants were used per treatment,
119 which were all inoculated with nematodes. On-farm, fifteen plants were used per treatment but
120 not inoculated with nematodes because fields were already found to be infested with nematodes.
121 Both trials were laid out in split-plot design where the main plot factor was cultivar and the
122 subplot factor was endophyte treatment.

123

124 2.3. Plant preparation and endophyte inoculation

125 Two month old tissue-cultured plants (post-weaning stage) of each cultivar were obtained
126 from JKUAT's tissue culture laboratory [in Nairobi, Kenya](#). The plants were micropropagated
127 using a standard shoot-tip culture protocol for banana according to Vuylsteke (1998). Upon
128 deflasking, plant roots were rinsed free of media in tap water and selected for uniformity in size
129 before planting in weaning trays (60 × 30 cm). Plants were allowed to grow for one month before
130 being transferred to 3 l plastic potting bags (5 × 9 × 4 cm) containing steam-sterilized sandy
131 loam soil. The plants were watered daily and maintained on raised benches in a greenhouse for
132 one month before endophyte inoculation. Inoculation of plants with endophytes and nematodes
133 was carried out according to Machungo (2009).

134

135 2.4. *Preparation of fungal inoculum*

136 For each strain, pieces of filter paper containing mycelium were plated on fresh synthetic
137 nutrient agar (SNA) (1 g KH₂PO₄, 1 g KNO₃, 0.5 g MgSO₄·7H₂O, 0.5 g KCl, 0.2 g glucose, 0.2 g
138 sucrose, 0.6 ml NaOH (1 M), 13.2 g agar, 0.1 g penicillin G, 0.2 g streptomycin sulphate and
139 0.05 g chlortetracycline/l SDW) in 90 mm diameter Petri dishes in the laboratory at room
140 temperature (~25°C) under sterile conditions. The Petri dishes were maintained under a natural
141 photoperiod of (12:12 h; L:D) for 7-10 to allow sporulation. For each strain, four blocks of SNA
142 (~0.5 cm³) containing mycelia and spores were inoculated into 100 ml sterile potato dextrose
143 broth (PDB) medium (12 g PDB/l SDW; Sigma-Aldrich, St. Louis, USA) in a 250 ml
144 Erlenmeyer flask, and the medium was incubated for 7 days. To obtain a solid substrate, 200 g of
145 maize bran was placed in 500 ml Erlenmeyer flasks, moistened by adding water, autoclaved for
146 30 min at 121°C and allowed to cool overnight before re-autoclaving for 30 min. Thirty ml of the
147 fungal suspension was transferred into each of the flasks with maize bran, and the medium was
148 incubated for 10 days at room temperature. Non-inoculated maize bran was used for the control
149 treatment. The spore concentration in the solid substrate was standardized to 3.3×10^6 spores/ml
150 and plants were inoculated by adding 2 g maize bran containing the fungal inoculum into three 4
151 cm deep pencil-made holes around the plant roots. Holes were covered with soil and plants were
152 maintained in the greenhouse.

153

154 2.5. *Nematode inoculation*

155 At the onset of both trials, soil sampling was carried out to assess the densities and species of
156 nematodes in the soil. Ten ~200 g soil samples were randomly collected within each field.

157 Samples from the same field were combined to make a composite sample. The samples were
158 placed in plastic bags and transported to the laboratory for nematode extraction. Nematodes were
159 extracted from a 100 g subsample using the Baermann tray method (McSorley, 1987). Nematode
160 species were identified using morphological characteristics.

161 In the on-station site, nematode species that are known to attack banana were absent.
162 Therefore, roots were obtained from visibly diseased banana plants in a nematode-infested
163 plantation as follows. A hole measuring approximately $5 \times 5 \times 5$ cm was excavated at 10 cm
164 distance from the base of the mother plant. All banana roots showing symptoms of nematode
165 damage were selected and used for nematode extraction. These roots were cut to ~1 cm in length,
166 thoroughly mixed, and nematodes extracted from a 25 g subsample using a modified Baermann
167 tray method (Hooper et al., 2005) over a 24 h period. The suspensions were reduced to 5 ml and
168 nematode densities estimated from a 1 ml subsample. Each plant was inoculated with 1,200
169 nematodes/plant (95% *Pratylenchus goodeyi*, 3% *Radopholus similis* (Cobb) Thorne and 2% *H.*
170 *multicinctus*) four weeks after endophyte inoculation into three 4 cm deep pencil-made holes
171 around the plant. Holes were covered with soil and plants were maintained in the greenhouse.

172 Plants for the on-farm trial were not inoculated with nematodes. Nematode populations in the
173 soil of the on-farm trial averaged 373/100 g of soil (96 *P. goodeyi*, 174 *H. multicinctus* and 103
174 *Meloidogyne* sp.).

175

176 2.6. Planting

177 Nine month old plants were planted in the field in mid-May (on-station) and mid-November
178 (on-farm) 2007. Plants were placed in holes measuring 1×1 m and spaced at 3×3 m between
179 and within the rows, respectively, and covered by a mixture of topsoil with 40 kg of compost

180 manure. Compost manure (40 kg/plant) was also added five months after planting. Weeds were
181 controlled manually as necessary to maintain weed-free plots. The fields were irrigated
182 depending on weather conditions: during the dry seasons, irrigation was conducted thrice weekly
183 (20 l/plant).

184

185 *2.7. Plant growth assessment*

186 Plant height (distance from the base of the plant to the point of the youngest leaf emergence),
187 girth of the pseudostem (measured at base of the plant) and number of healthy functional leaves
188 (leaves were considered healthy when >75% of the leaf area was green as opposed to yellow,
189 brown or dry), were measured on a monthly basis. At harvest, yield (t/ha), bunch weight, number
190 of hands and number of fingers per bunch were determined. Bunches were considered mature
191 when fingers of the second hand attained a round shape. The number of days to flowering and
192 harvest was recorded for each plant. Data were collected for the first crop cycle of each trial
193 only. No toppling was observed in either trial. Yield (kg/ha/year) was calculated as: average
194 bunch weight/treatment \times percentage of plant harvested/treatment \times plants/ha (1,111 plants/ha) \times
195 (365/number of days from planting to harvest).

196

197 *2.8. Nematode densities and damage assessment*

198 Root samples were collected at 3, 6, 9 and 12 months after transplanting (MAT) to assess for
199 nematode damage and population. A hole measuring 5 \times 5 \times 5 cm was excavated at 10 cm
200 distance from the base of the mother plant. All banana roots within the hole were collected, and
201 five roots were randomly selected and used to assess for nematode damage according to Speijer
202 and De Waele (1997). Nematode damage was expressed as percentage necrotic root tissue. The

203 five roots were cut to a length of 10 cm, sliced length-wise and the percentage root cortex
204 showing necrosis of each root estimated to a maximum of 20% each. The percentage root
205 necrosis comprised the sum of each of the five pieces. These roots were further cut to ~1 cm in
206 length, thoroughly mixed, and nematodes extracted from a 25 g subsample using the modified
207 Baermann tray method (Hooper et al., 2005) over 24 h. The suspensions were reduced to 5 ml
208 and nematode densities estimated from a 1 ml subsample. *Pratylenchus goodeyi* and *H.*
209 *multicintus* were counted per life stage (female, male and juvenile).

210

211 2.9. Data analysis

212 Nematode counts were $\log_{10}(x+1)$ -transformed, while percentage nematode damage data were
213 arcsine-square root-transformed before analysis of variance (ANOVA). Plant growth and yield
214 data were left untransformed prior to ANOVA. A generalized linear model was used to test for
215 factor effects and their interactions. When factor interactions were significant, effects of one
216 factor were analyzed at each level of the other factor. Means were separated using least
217 significant difference tests (LSD) (SAS Institute, 2001).

218

219 3. Results

220

221 3.1. Plant growth and yield

222 Plant growth parameters (height, girth and number of functional leaves) were not significantly
223 different ($P>0.05$) between the two banana cultivars and neither was there a significant
224 interaction ($P>0.05$) between cultivar and endophyte treatment for either trial (Table 1).

225 | Endophyte-inoculated plants ~~exhibited~~~~experienced~~ enhanced growth compared to non-inoculated
226 plants, although this was not statistically significant ($P>0.05$).

227 For both trials, days to flowering and days to harvest were not significantly different ($P>0.05$)
228 between the two banana cultivars nor endophyte treatments (Table 2). There was no significant
229 interaction ($P>0.05$) between cultivar and endophyte treatment for either trial.

230 Bunch weight and yield were not significantly different between banana cultivars ($P>0.05$),
231 nor was there a significant interaction between cultivar and endophyte treatment ($P>0.05$) for
232 either trial. Therefore bunch weight and yield data were pooled across cultivars. In both trials,
233 bunch weight and yield of plants inoculated with endophytic *F. oxysporum* strains were
234 significantly higher ($P<0.05$) than those of control plants (Table 3). In the on-station trial, plants
235 treated with V5W2 produced significantly heavier bunches (22%) than untreated plants, and
236 plants treated with V5W2 and *Emb 2.4o* had significantly higher yields (36-37%) compared to
237 control plants. In the on-farm trial, *Eny 7.11o*-treated plants produced significantly heavier
238 bunches (20%) and higher yields (20%) compared to untreated plants.

239

240 3.2. Nematode damage

241 For either trial, nematode damage was not statistically significant between banana cultivars
242 ($P>0.05$), nor was there a significant interaction between cultivar and endophyte treatment
243 ($P>0.05$). Therefore, root necrosis data was pooled across cultivars for both trials. In the on-
244 station trial, endophyte inoculation significantly reduced nematode damage in inoculated plants
245 at 6 ($P<0.05$), 9 ($P<0.01$) and 12 ($P<0.005$) MAT compared with non-inoculated plants (Table
246 4). In the on-farm trial, nematode damage remained low in all treatments up to 6 MAT, but

247 became more evident over time, with significantly lower necrosis observed in endophyte-
248 inoculated plants compared with control plants at 9 MAT ($P < 0.05$) and 12 ($P < 0.005$).

249

250 3.3. Nematode population densities

251 Nematode density data were pooled across cultivars for both trials, because nematode
252 densities were not significantly ~~different~~lower ($P < 0.05$) between cultivars, nor was there an
253 interaction between cultivar and endophyte treatment ($P > 0.05$) for either trial.

254 In the on-station trial, although endophyte non-inoculated plants contained numerically higher
255 nematode densities (*P. goodeyi* and *H. multincinctus*) at 3 and 6 MAT, the differences were not
256 significant ($P > 0.05$) among treatments (Table 5). At 9 and 12 MAT, endophyte-inoculated plants
257 had significantly fewer ($P < 0.001$) nematode densities in roots compared with non-inoculated
258 plants. No *R. similis* nematodes were recovered from the roots.

259 In the on-farm trial, few nematodes were recovered from the roots by 3 and 6 MAT, with no
260 significant differences observed in densities among treatments. At 9 and 12 MAT, endophyte-
261 inoculated plants had significantly lower ($P < 0.05$) densities of *P. goodeyi* compared with non-
262 inoculated plants. At 9 MAT, *Helicotylenchus multincinctus* densities were relatively, but not ~~l-~~
263 significantly, lower in endophyte-inoculated plants. After 12 MAT, significant differences
264 ($P = 0.028$) were observed in *H. multincinctus* densities between endophyte-inoculated and non-
265 inoculated plants.

266 In the on-station trial, *Meloidogyne* sp. was not observed. In the on-farm trial, the population
267 of *Meloidogyne* sp. was not significantly ($P > 0.05$) different between cultivars or endophyte
268 treatments, and ranged from 325-477 at 3 MAT to 109-230 at 12 MAT.

269

270 4. Discussion

271

272 The current study provides strong evidence of the protective effects of fungal endophytes
273 against plant-parasitic nematodes on banana under field conditions. A significant reduction in
274 nematode densities and associated damage to banana roots was observed on-station and also in a
275 naturally infected farm following inoculation with *F. oxysporum* strains, which translated into
276 improved bunch weights and yields in the first, mother crop cycle. Use of fungal endophytes to
277 protect against plant-parasitic nematodes has previously been demonstrated in the field. Grasses
278 infected by *Neotyphodium* sp. and *Acremonium coenophialium* endophytes, for instance,
279 inhibited some species of migratory and sedentary endoparasites, leading to a higher yield in
280 *Festuca arundinacea* Schreb. plants (West et al., 1988; Bacetty et al., 2009). In other crops, non-
281 pathogenic *Fusarium* spp. strains isolated from roots reduced *Meloidogyne incognita* (Kofoid &
282 White) Chit. populations on tomato ~~*Solanum lycopersicum-Lycopersicon esculentum*~~ L.
283 (Hallmann and Sikora, 1994), and *Meloidogyne graminicola* Golden & Birchfield populations on
284 rice (*Oryza sativa* L.) (Le et al., 2009).

285 A number of studies have demonstrated the beneficial effect of *F. oxysporum* endophytes on
286 banana, primarily against *R. similis* (Niere, 2001; Athman et al., 2006; Dubois et al., 2006;
287 Paparu et al., 2006; Zum Felde, 2008). However, there are few studies that have assessed their
288 effect in the field. Menjivar Barahova (2005) demonstrated reduction in *R. similis* populations of
289 up to >50% in large dessert banana (cv. Valery) plantations seven months after tissue-cultured
290 plantlets had been inoculated with locally adapted *F. oxysporum* strains and planted in the field
291 in Costa Rica. These reductions are higher than what we found for *H. multicinctus* and *P.*

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292 *goodeyi* 12 MAP in Kenya. Although Menjivar Barahova (2005) did not find large differences in
293 functional root weight between *F. oxysporum*- and non-inoculated banana plants, we found a
294 large reduction in root damage caused by *H. multincinctus* and *P. goodeyi*. Interestingly, Menjivar
295 Barahova (2005) observed large increases in plant height, circumference and number of standing
296 leaves, which we failed to detect statistically. However, both Menjivar Barahova (2005) and the
297 present study found that *F. oxysporum* endophytes significantly increased bunch weights and
298 yields compared to non-inoculated plants.

299 | Inoculation of ~~other hypocrealean similar~~ fungal endophytes such as *Trichoderma viride* Pers.
300 has equally shown to reduce nematode populations in banana fields. Pocasangre et al. (2007)
301 reported that *R. similis* populations in Costa Rica and the Dominican Republic were reduced to
302 levels similar of those obtained by chemical control after tissue-cultured plantlets had been
303 inoculated with *T. viride*, although no effects were found in field trials in Venezuela. Also, high
304 reduction of nematodes has been demonstrated in naturally suppressive fields in Guatemala,
305 where banana plants were left uninoculated but soils were found to be colonized by high levels
306 of *F. oxysporum* and *T. viride* (Sikora et al., 2010).

307 | The current study demonstrates that *F. oxysporum* endophytes, inoculated into tissue-cultured
308 banana plants, ~~are beneficial to can have a direct impact on~~ the farmer. Non-pathogenic
309 endophytic *F. oxysporum* strains (*V5W2*, *Eny7.11o* and *Emb 2.40*) reduce populations of *P.*
310 *goodeyi* and *H. multincinctus*, thereby reducing root necrosis, and increasing bunch weight and
311 ultimately yield.

312 | The results are derived from the first crop cycle only, however, and so as a perennial crop, the
313 benefits may extend further into following crop cycles. Nematode pests manifest over time and
314 build up on perennial crops such as banana, where they tend to be more damaging in following

315 crop cycles. Referring to *P. goodeyi* on East African highland bananas in Uganda, Speijer et al.
316 (1999) suggested that long-term studies are needed for its damage potential to be realized.

317 Improved yields following endophyte enhancement could also be attributed to growth
318 enhancement as a response to endophytic colonization. In our study, a slight increase in plant
319 height, girth of the banana pseudostems and number of functional leaves for endophyte
320 inoculated plants was observed, although these were not significant. In our study, plants
321 enhanced with endophytes were harvested sooner than untreated plants, but this was also not
322 statistically significant. In other studies, however, banana growth was stimulated following
323 inoculation with *F. oxysporum* endophytes (Niere, 2001), while Waller et al. (2005) observed
324 similar responses following *Piriformospora indica* Sav. Verma colonization of barley (*Hordeum*
325 *vulgare* L.). Endophytic fungi produce phytohormones (Tan and Zou, 2001; Nassar et al., 2005),
326 which could contribute to improved growth.

327 Although endophytic fungi have been shown to protect plants from nematode attack and
328 damage, not all nematode species are similarly affected by endophyte infection. *In vitro*
329 assessment of a range of banana nematode species showed marked differences in their reaction to
330 fungal metabolites (Van Dessel et al., 2011). In a greenhouse study, Niere et al. (1999) also
331 reported that *H. multicinctus* densities were reduced by 75% by endophytes, while other species
332 present were unaffected. In the current study, *Meloidogyne* sp. in the on-farm trial was not
333 significantly affected by the endophyte infection, by comparison to other species.

334 This study provides the first report from the field in Africa on the ~~negative and positive~~
335 effects of fungal endophytes on reducing nematode populations and damage,s and increasing
336 banana production, ~~respectively~~. Bio-enhancement of tissue-cultured plantlets with endophytic
337 strains *V5W2*, *Eny 7.11o* and *Emb2.4o* increased their protection against nematode pests, when

338 treated prior to field release. Such treatment of seedling material provides a targeted application
339 ahead of distribution to farmers and field planting, which helps to optimize use and efficiency of
340 these biological control options. In the first crop cycle, application of the endophytes led to
341 higher bunch weights and yields of banana. Further studies are needed to determine the longer-
342 term effect of endophytes on growth and yields over successive crop cycles, as well as their
343 interaction with other factors such as management practices.

344

345 **Acknowledgements**

346 The authors thank the German Ministry of Economic Cooperation and Development (BMZ)
347 for financial support through a grant to the International institute of Tropical Agriculture (IITA).
348 Technical assistance by JKUAT staff is highly appreciated. We are greatly indebted to the
349 farmers who participated in the field trial.

350

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Table 1

The effect of inoculation of endophytic *F. oxysporum* strains on growth of banana cv. Giant Cavendish and cv. Grand Nain (combined) in an on-station and an on-farm trial in Kenya.

Site	Treatment	Height (cm)	Girth (cm)	NOFL ^a
On-station	<i>V5W2</i>	111.2 ± 3.8 a	41.2 ± 1.2 a	15.0 ± 0.3 a
	<i>Eny 7.11o</i>	105.7 ± 3.8 a	39.9 ± 1.2 a	15.0 ± 0.3 a
	<i>Emb 2.4o</i>	108.2 ± 3.9 a	39.7 ± 1.8 a	14.7 ± 0.3 a
	Control	109.5 ± 3.8 a	40.9 ± 1.3 a	15.3 ± 0.3 a
On-farm	<i>V5W2</i>	134.0 ± 4.2 a	50.4 ± 1.5 a	14.6 ± 0.3 a
	<i>Eny 7.11o</i>	134.6 ± 4.0 a	51.0 ± 1.4 a	15.0 ± 0.3 a
	<i>Emb 2.4o</i>	129.1 ± 4.0 a	50.2 ± 1.5 a	14.7 ± 0.3 a
	Control	130.8 ± 4.2 a	49.5 ± 1.5 a	14.4 ± 0.3 a

Values represent means ± standard error. For each trial, means with same letters within a column are not significantly different ($P < 0.05$, Tukey's Studentised range test). On-station: n=9 per treatment; on-farm: n=12 per treatment.

^a NOFL=number of functional leaves.

Table 2

The effect of inoculation of endophytic *F. oxysporum* strains on duration to flowering and harvesting of banana cv. Giant Cavendish and cv. Grand Nain (combined) in an on-station and an on-farm trial in Kenya.

Site	Treatment	Days to flowering	Days to harvesting
On-station	V5W2	461.2 ± 5.2 a	641.6 ± 5.0 a
	<i>Eny 7.11o</i>	467.2 ± 9.1 a	645.0 ± 11.2 a
	<i>Emb 2.4o</i>	455.2 ± 6.2 a	635.2 ± 8.9 a
	Control	468.3 ± 7.6 a	645.3 ± 4.6 a
On-farm	V5W2	274.2 ± 56.6 a	403.3 ± 81.6 a
	<i>Eny 7.11o</i>	315.5 ± 8.0 a	478.8 ± 8.0 a
	<i>Emb 2.4o</i>	318.7 ± 4.3 a	486.3 ± 5.8 a
	Control	329.3 ± 14.1 a	494.2 ± 12.7 a

Values represent means ± standard error. For each trial, means with same letters within a column are not significantly different ($P < 0.05$, Tukey's Studentised range test). On-station: n=9 per treatment; on-farm: n=12 per treatment.

Table 3

The effect of inoculation of endophytic *Fusarium oxysporum* strains on bunch weight and yield of banana cv. Giant Cavendish and cv. Grand Nain (combined) in an on-station and an on-farm trial in Kenya.

Site	Treatment	Bunch weight (kg)	Yield (t/ha)
On-station	V5W2	31.3 ± 1.2 a	19.6 ± 0.9 a
	<i>Eny 7.11o</i>	29.7 ± 1.5 ba	17.6 ± 1.3 ba
	<i>Emb 2.4o</i>	30.3 ± 1.0 ba	19.4 ± 1.4 a
	Control	25.7 ± 1.6 b	14.3 ± 1.4 b
On-farm	V5W2	28.9 ± 0.6 ba	20.1 ± 4.1 ba
	<i>Eny 7.11o</i>	30.3 ± 0.8 a	24.1 ± 1.0 a
	<i>Emb 2.4o</i>	26.9 ± 0.9 ba	21.5 ± 1.2 ba
	Control	25.3 ± 0.8 b	20.1 ± 1.5 b

Values represent means ± standard error. For each trial, means with same letters within a column are not significantly different ($P < 0.05$, Tukey's Studentised range test). On-station: n=9 per treatment; on-farm: n=12 per treatment.

Table 4

Nematode root necrosis (%) of banana plants cv. Giant Cavendish and cv, Grand Nain (data combined) inoculated with endophytic *F. oxysporum* at four sample times after transplanting in an on-station and an on-farm trial in Kenya.

Site	Treatment	Months after transplanting			
		3	6	9	12
On-station	V5W2	26.4 ± 2.3 a	23.9 ± 2.3 b	39.0 ± 2.1 b	45.3 ± 3.9 b
	<i>Eny 7.11o</i>	24.4 ± 2.3 a	30.9 ± 2.2 b	37.8 ± 2.2 b	47.8 ± 2.2 b
	<i>Emb 2.4o</i>	22.8 ± 2.8 a	30.3 ± 2.5 b	37.3 ± 3.6 b	49.9 ± 2.9 b
	Control	27.5 ± 3.4 a	35.6 ± 2.9 a	49.5 ± 1.8 a	58.9 ± 1.9 a
On-farm	V5W2	1.8 ± 1.1 a	6.1 ± 3.3 a	13.7 ± 3.1 b	18.1 ± 4.0 b
	<i>Eny 7.11o</i>	2.4 ± 1.5 a	5.9 ± 2.5 a	11.8 ± 3.5 b	13.5 ± 3.6 b
	<i>Emb 2.4o</i>	4.4 ± 1.9 a	9.8 ± 3.4 a	20.8 ± 3.9 b	28.9 ± 4.0 b
	Control	7.7 ± 2.8 a	13.2 ± 4.3 a	25.4 ± 2.6 a	38.1 ± 4.6 a

Values represent means ± standard error. For each trial, means with same letters within a column are not significantly different ($P < 0.05$, Tukey's Studentised range test). On-station: n=9 per treatment; on-farm: n=12 per treatment.

Table 5

Pratylenchus goodeyi and *H. multicinctus* densities (nematodes/100 g) in banana plants cv. Giant Cavendish and cv, Grand Nain (data combined) inoculated with endophytic *F. oxysporum* at four sample times after transplanting in an on-station and an on-farm trial in Kenya.

Site	Treatment	Months after transplanting							
		3		6		9		12	
		<i>Pg</i>	<i>Hm</i>	<i>Pg</i>	<i>Hm</i>	<i>Pg</i>	<i>Hm</i>	<i>Pg</i>	<i>Hm</i>
On-station	V5W2	223 ± 25 a	99 ± 12 a	280 ± 49 a	80 ± 15 a	238 ± 19 b	135 ± 14 b	1,300 ± 101 b	641 ± 49 b
	<i>Eny 7.11o</i>	234 ± 30 a	103 ± 12 a	299 ± 43 a	93 ± 17 a	235 ± 25 b	117 ± 17 b	1,322 ± 96 b	653 ± 51 b
	<i>Emb 2.4o</i>	293 ± 51 a	136 ± 27 a	318 ± 72 a	80 ± 18 a	303 ± 37 b	169 ± 35 b	1,394 ± 230 b	651 ± 91 b
	Control	346 ± 58 a	162 ± 30 a	408 ± 65 a	103 ± 16 a	625 ± 96 a	361 ± 63 a	2,752 ± 237 a	1,500 ± 157 a
On-farm	V5W2	2 ± 1 a	6 ± 4 a	75 ± 53 a	28 ± 24 a	43 ± 13 c	70 ± 23 a	277 ± 177 b	105 ± 35 b
	<i>Eny 7.11o</i>	23 ± 22 a	8 ± 7 a	36 ± 34 a	39 ± 32 a	65 ± 26 bc	47 ± 19 a	134 ± 70 b	82 ± 34 b
	<i>Emb 2.4o</i>	13 ± 12 a	16 ± 10 a	87 ± 62 a	89 ± 53 a	304 ± 157 ba	106 ± 49 a	507 ± 179 ba	248 ± 96 ba
	Control	61 ± 28 a	42 ± 19 a	207 ± 93 a	137 ± 63 a	317 ± 77 a	161 ± 94 a	898 ± 268 a	449 ± 173 a

Values represent means ± standard error. For each trial, means with same letters within a column are not significantly different

($P < 0.05$, Tukey's Studentised range test). On-station: n=9 per treatment; on-farm: n=12 per treatment. *Pg*=*P. goodeyi*. *Hm*=*H.*

multicinctus.