

Socio-economic Parameters of Pesticide Use and Assessment of Impact of an IPM Strategy for the Control of Eggplant Fruit and Shoot Borer in West Bengal, India



*K. Baral, B.C. Roy, K.M.B. Rahim,
H. Chatterjee, P. Mondal,
D. Mondal, D. Ghosh and
N.S. Talekar*



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The World Vegetable Center

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K. Baral, B.C. Roy, K.M.B. Rahim, H. Chatterjee, P. Mondal, D. Mondal, and D. Ghosh

Institute of Agriculture, Visva-Bharati University, Sriniketan, District Birbhum, West Bengal, India

and

N.S. Talekar

AVRDC – The World Vegetable Center, Shanhua, Taiwan

Current address: College of Plant Protection, Yunnan Agricultural University, Kunming, Yunnan 650201, China

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AVRDC
The World Vegetable Center

AVRDC – The World Vegetable Center
P.O. Box 42, Shanhua, Tainan, Taiwan 74199, ROC
tel: +886-6-583-7801
fax: +886-6-583-0009
e-mail: avrddcbox@avrddc.org
web: www.avrddc.org

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For more information

Dr. N.S. Talekar, College of Plant Protection, Yunnan Agricultural University, Kunming, Yunnan 650201, China; e-mail: talekar29@yahoo.com

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Executive Summary

Vegetable cultivation has become an important means for reducing poverty in rural areas. Eggplant, *Solanum melongena* L., is a typical vegetable crop in that its cultivation helps to generate valuable income for farmers and laborers. At the same time, like other vegetables, its cultivation also degrades the environment, and poses a threat to human health due to misuse of pesticides. Among the plethora of pest species that feed on eggplant, the eggplant fruit and shoot borer (EFSB), *Leucinodes orbonalis* Guenée, is the most destructive, especially in South Asia. As a result of its feeding inside fruit, the fruits become unmarketable and yield losses of up to 90% have been reported. Nearly all farmers rely exclusively on application of chemical insecticides to combat EFSB. This practice has resulted in tremendous misuse of pesticides, causing a multitude of side effects that includes increased cost of production as well as exposure of farmers and consumers to pesticide residues. The excessive use of chemical pesticides has destroyed natural enemies of EFSB, resulting in a resurgence of the pest's population.

In order to overcome the situation, a simple and economical IPM strategy was implemented and promoted in Birbhum district of West Bengal State of India. Simultaneously, a socio-economic study was undertaken to understand farmers' pest management practices, patterns of input use, and economic returns in production. This study also evaluated the extent of adoption of IPM practices and the initial economic and social impacts of such adoption.

A personal interview survey, based on a pre-tested structured questionnaire, was conducted in eggplant growing areas of Bolpur, Illambazar and Sainthia blocks in Birbhum District. A total of 100 farmers from 10 villages from the three blocks were interviewed at the initiation of the project and then again two years later in October–November 2005, just before the project's conclusion. During the second installment of interviews, 37 farmers from the same villages who refused to follow IPM were also interviewed in order to understand their reasons for not adopting the strategy. These farmers also served as a check for judging the impact of IPM.

The vast majority of farmers (86%) operated farms of 2 ha or less. Most (55%) were experienced eggplant producers having cultivated this crop for at least 5 years. Over 90% of farmers were 50 years old or younger. Although 95% of farmers were literate, only 13% of the laborers they hired had attended school.

Paddy rice followed by eggplant was the most common cropping sequence. Most farmers used their own eggplant seeds saved from the previous planting, although 34% farmers sowed hybrid varieties that were purchased in the market.

All farmers considered EFSB as the most serious pest of eggplant followed by *Epilachna* beetle and mites. Ninety-seven percent of farmers used pesticides to combat EFSB and 58% felt that the use of pesticides was profitable, but 36% of farmers felt that pesticides were not effective in reducing EFSB damage. They

sprayed pesticides an average of 54 times during the winter season. Farmers used all major classes of chemical insecticides, including organochlorines, organophosphates, carbamates, and synthetic pyrethroids to combat EFSB. There was widespread misuse, especially overuse of chemicals. Pesticide misuse decreased as farming experience and level of education increased. Greater awareness about IPM technologies as well as awareness of failures of pesticide use also reduced pesticide misuse.

Nearly half of the farmers were aware of beneficial insects in eggplant fields, but only 26% were aware of the harmful effect of pesticides on these natural enemies of EFSB. At the same time, 54% of them were aware of the adverse effect of pesticides on eggplant consumers. Birbhum farmers were able to get advice on pesticide use in combating EFSB from various sources, but 79% of farmers depended on the local pesticide sales agent on what chemicals to use. This practice is similar elsewhere in India and neighboring Bangladesh. Ninety-two percent of farmers covered their face and/or body with cloth while applying pesticides.

The average cost of production of eggplant was Rs.94,000 (US\$2,140), while gross returns were Rs.165,000 and net returns were Rs.71,000 per hectare per season. The benefit-cost ratio was 1.76. The average yield was 28 t/ha, which is almost twice the national average of 16.1 t/ha.

On-farm grading is a common practice of eggplant farmers in Birbhum District and most of the produce (89%) was sold in local markets. Insect-damaged eggplant fruits were fed to cattle by 86% of the farmers. After the final harvest, only 8% of farmers disposed of plant residues promptly, while 88% of farmers stored the plant stalks around the field for use as fuel. Since these plants may harbor EFSB, this will be an impediment to adoption of IPM unless a suitable compromise is found that will entice farmers to dispose of crop residue quickly.

The significant factors promoting adoption of IPM are the farmers' awareness about IPM, availability of IPM inputs, perceived economic and health benefits, and degree of crop damage. Significant factors hindering adoption of IPM include size of landholding, age of decision maker, and easy access to pesticides.

The impact of the project is significant both in terms of reducing the cost of growing eggplant as well as in increasing returns. Growers who adopted IPM practices experienced increases in yield, a higher proportion of pest-free fruit, and higher profits compared to non-practitioners. IPM adopters reduced their labor requirements by 5.9%, while the labor requirements of non-adopters rose by 1.2%. Adopters of IPM increased their eggplant production area by 21.6% while non-adopters reduced their production area by 8.7%. Farmers adopting IPM sprayed pesticides 52.6% less often than before while non-adopters sprayed 14.1% more often. Nearly all (99%) of adopters will continue to use IPM and 73% of non-adopters plan on switching to IPM next year. The economic surplus model revealed an internal rate of return of 38% and a benefit-cost ratio of 2.78.

1 Introduction

Vegetable cultivation is one of the most economically important and dynamic branches of agriculture. It has become an important source of income for both farmers and field laborers, serving as a vehicle for reducing poverty in rural areas. At the same time, vegetable cultivation is becoming more costly due to the increased use of purchased inputs, such as pesticides and fertilizers, to sustain production levels. If used improperly, many of these purchased inputs have deleterious effects on human health and the environment.

Eggplant, *Solanum melongena* L., is a typical vegetable crop in that its cultivation helps to generate income for farmers, yet it also degrades the environment largely due to heavy use of pesticides, especially in Asia. This crop is especially important in South Asia, where it is one of the three most widely grown vegetable species. This region accounts for almost 50% of the world's eggplant production. Eggplant is cultivated largely on small landholdings where sale of its produce from frequent pickings through the prolonged harvest season generates valuable cash income to farmers. In the hot-wet monsoon season, when other vegetables are in short supply, eggplant is practically the only vegetable that is available at an affordable price for rural and urban poor.

In India, 8.2 million tons of eggplant on 510,000 ha is produced annually, second only to China in production. Yields in India increased from 6.6 t/ha in 1961 to 16.1 t/ha in 1998 (FAOSTAT data, 2006), largely due to an increased use of inputs such as fertilizers and pesticides. However, yields have stopped rising since 1998, despite continued increase in the use of these inputs. We surmise this to be largely due to increasing damage by insect pests and failure of pesticides to combat these pests.

Among the plethora of pest species that feed on eggplant, the eggplant fruit and shoot borer (EFSB), *Leucinodes orbonalis* Guenée, is the most destructive. Insect larvae bore inside tender shoots, which results in withering of that plant part. More severe economic damage comes from larvae feeding inside fruits, making even slightly damaged fruit unfit for human consumption. This results in direct economic yield loss. Yield losses of up to 90% have been reported in India (Kalloo, 1988) and similar losses are also common in neighboring Bangladesh (Ali et al., 1980).

In the absence of effective alternative control measures, farmers rely exclusively on application of chemical insecticides to combat EFSB. This practice has resulted in tremendous misuse of pesticides, causing a multitude of side effects that includes a loss of biodiversity. This, in turn, has resulted in the resurgence of EFSB and other pest populations due to the killing of their natural enemies.

The abuse of pesticides, including the use of excessive rates and non-registered chemicals, as well as a disregard for re-entry and harvest-delay intervals,

have resulted in both loss of pesticide effectiveness as well as damage to the environment and human health. In other crops, namely rice, it has been argued that the profits gained by using pesticides in production are negated when associated health costs are counted (Rola and Pingali, 1993). Since pesticides impart undesirable effects on the environment and human health, several countries, including India, have introduced integrated pest management (IPM) approaches that are based on restoring the natural balance between pests and their predators in ecological systems. Most of such IPM approaches are pest specific and influenced by host-plant relationships and the crop ecosystem.

A simple IPM approach was developed during a three-year (2000–2003) project funded by the Department for International Development (DFID) of the UK (Alam et al., 2003). In brief, the IPM strategy involved (a) removal of previous crop residue before planting; (b) installation of pheromone-baited traps throughout the field once flowering starts; (c) prompt cutting and disposal of EFSB-damaged shoots; and (d) withholding of pesticide use for as long as possible. The project was extended for an additional two years to implement and promote this IPM strategy in Bangladesh and India.

The present study, undertaken in West Bengal State of India, documented eggplant pest problems, farmers' pest management practices, patterns of input use, and economic returns in order to develop a baseline understanding of socio-economic parameters that influence farmers' pest management practices in eggplant. West Bengal State is especially suitable for such a study because it is leading producer of eggplant, accounting for 28% of the country's production (IASRI, 2005).

This study also evaluated the extent of the adoption of IPM practices to combat EFSB and documented the underlying factors that led to adoption or non-adoption of the IPM strategy. The initial economic and social impacts of the project are also presented herein.

2 Socio-economic Characteristics of Eggplant Farmers

2.1 Methodology

Three intensive eggplant growing areas of Birbhum District: Bolpur, Illambazar and Sainthia blocks, were selected (Fig. 1). These blocks, each with several villages, have a long history of cultivating vegetables, especially eggplant—the most important vegetable in West Bengal. A total of 100 farmers (35 from six villages in Bolpur, 32 from one village in Illambazar, and 33 from four villages in Sainthia blocks) were interviewed at the initiation of the project and then again two years later in October–November 2005, just before the conclusion of the project.

Objective-oriented, structured questionnaires were used to identify pest problems, pest management practices, patterns of input use, and economic returns associated with eggplant cultivation (see Appendix). Pre-tested survey instruments were used for collection of data. The collected data were code edited for processing and analysis to determine factors responsible for farmers' misuse of pesticides and factors that lead to their adoption or rejection of IPM strategy.

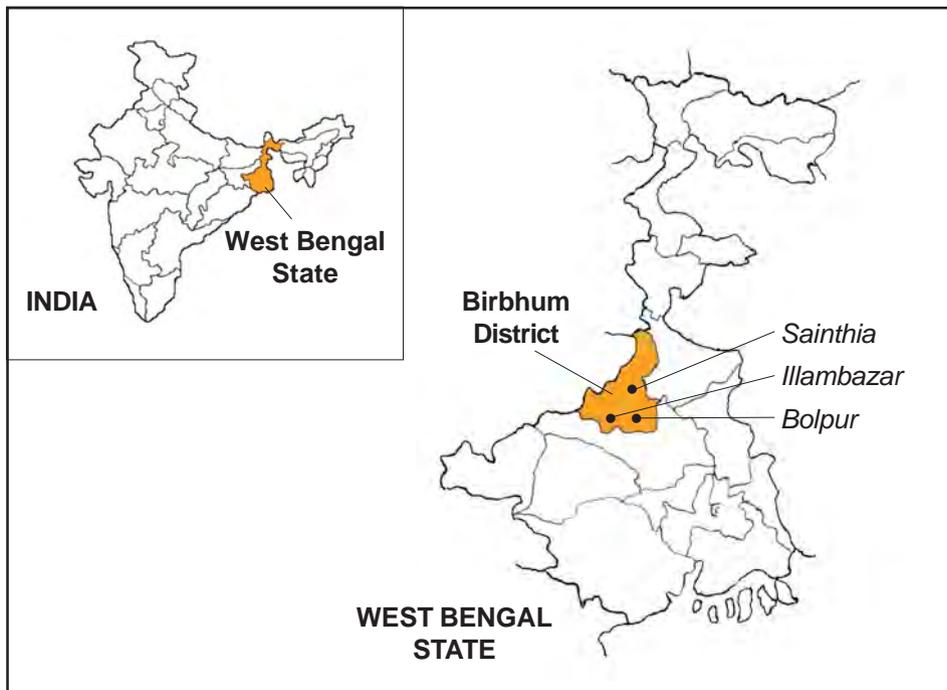


Fig. 1. Location of Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal State of India, where project implementation and socio-economic studies were carried out

2.2 Results

The socio-economic characteristics of the eggplant farmers in Bolpur, Illambazar, and Sainthia blocks in Birbhum District of West Bengal are presented in Table 1. All of the respondents were males. Among the farmers interviewed, 45% operated small farms of 1 to 2 ha in size, and 41% operated even smaller farms. Only 1% operated farms 4 ha or larger, and no farmers operated as much as 10 ha. The average size of holding was highest in Ilambazar (1.45 ha) followed by Bolpur (1.30 ha) and Sainthia (1.17 ha).

Thirty-eight percent of farmland was sown in crops, and half of this, 19%, was sown in vegetables (5% in eggplant). Sainthia block had the largest percentage of cropland devoted to eggplant production, approximately 7%.

Farmers were experienced but fairly young in age. Among the respondents, 82% of farmers had at least 10 years of farming experience and 66% had at least 5 years of experience growing eggplant. Farmers in Bolpur were generally more experienced compared to farmers of the other two blocks.

Forty-two percent of farmers were in the age group of 30 to 40 years, an age of high labor productivity. Half of the farmers in Bolpur block were within this age group. Overall, only 9% of farmers were over 50 years of age.

Ninety-three percent of hired farm laborers were between the age group of 14 to 60 years; only 2% were younger and 5% were older.

The farmers and hired farm laborers were grouped into four categories based on their educational attainment: illiterate, primary school, secondary school, and higher secondary school level education. Only 5% of farmers were illiterate and 83% had received education at least up to the secondary school level. In contrast, 87% of hired farm laborers were illiterate and only 1% had received education in a secondary school.

2.2.1 Cropping patterns in survey area

Eggplant was a common vegetable in all of the cropping sequences practiced by the growers in the surveyed area (Table 2). Paddy rice followed by eggplant was a common cropping sequence at all locations.

Locally improved varieties of eggplant were grown by 46% of farmers (Table 3) while F_1 hybrids were grown by 34% of the growers. Most farmers saved their own seeds to grow eggplant in West Bengal, a situation similar to other parts of India.

Table 1. *Socio-economic characteristics of eggplant farmers in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Traits | Bolpur | Illambazar | Sainthia | Mean |
|--|--------|------------|----------|------|
| <i>Farm size (% of farmers)</i> | | | | |
| Marginal (less than 1 ha) | 35 | 39 | 49 | 41 |
| Small (1 to less than 2 ha) | 53 | 43 | 39 | 45 |
| Medium (2 to less than 4 ha) | 9 | 18 | 12 | 13 |
| Semi-medium (4 to 10 ha) | 3 | 0 | 0 | 1 |
| Large (more than 10 ha) | 0 | 0 | 0 | 0 |
| Average size of holdings (ha) | 1.30 | 1.45 | 1.17 | 1.31 |
| <i>Cropping pattern (% of total cropped area)</i> | | | | |
| Potential area under vegetables | 40 | 44 | 30 | 38 |
| Actual area under vegetables | 21 | 17 | 19 | 19 |
| Area under eggplant in 2001 | 4 | 4 | 6 | 4 |
| Area under eggplant in 2002 | 4 | 4 | 7 | 5 |
| Area under eggplant in 2003 | 5 | 4 | 9 | 6 |
| Area under eggplant in 2001–2003 | 4 | 4 | 7 | 5 |
| <i>Farming Experience (% of farmers)</i> | | | | |
| Raising crops | | | | |
| Less than 10 years | 9 | 18 | 27 | 18 |
| 10 to less than 20 years | 59 | 40 | 52 | 50 |
| 20 to 30 years | 29 | 36 | 18 | 28 |
| More than 30 years | 3 | 6 | 3 | 4 |
| Growing eggplant | | | | |
| Less than 1 year | 3 | 9 | 0 | 4 |
| 1 to less than 5 years | 21 | 33 | 36 | 30 |
| 5 to 10 years | 62 | 46 | 58 | 55 |
| More than 10 years | 14 | 12 | 6 | 11 |
| <i>Age of farmers (% responding)</i> | | | | |
| Under 30 years | 15 | 27 | 46 | 29 |
| 30 to 39 years | 50 | 40 | 36 | 42 |
| 40 to 50 years | 23 | 21 | 15 | 20 |
| Over 50 years | 12 | 12 | 3 | 9 |
| <i>Age of farm labor (% responding)</i> | | | | |
| Child labor (below 14 years) | 0 | 4 | 1 | 2 |
| Adult (14 to 60 years) | 97 | 91 | 93 | 93 |
| Senior citizen (above 60 years) | 3 | 5 | 6 | 5 |
| <i>Education level of land owners (% responding)</i> | | | | |
| Illiterate | 9 | 6 | 0 | 5 |
| Primary school level | 15 | 15 | 6 | 12 |
| Secondary school level | 67 | 61 | 85 | 71 |
| Higher secondary school level | 9 | 18 | 9 | 12 |
| <i>Education of farm labor (% responding)</i> | | | | |
| Illiterate | 88 | 82 | 90 | 87 |
| Primary level | 12 | 17 | 10 | 12 |
| Secondary level | 0 | 1 | 0 | 1 |

Table 2. Cropping patterns in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India

| Cropping pattern | Percentage of farmers | | | Mean |
|---------------------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Eggplant–eggplant | 24 | 3 | 9 | 12 |
| Paddy–eggplant | 68 | 76 | 61 | 68 |
| Potato–eggplant | 38 | 18 | 21 | 26 |
| Wheat–eggplant | 15 | 9 | 3 | 9 |
| Other vegetables–eggplant | 91 | 42 | 33 | 56 |
| Other crops–eggplant | 21 | 6 | 12 | 13 |

Note: Some farmers were following more than one cropping sequence

Table 3. Variety types used by eggplant farmers in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India

| Variety type | Percentage of farmers | | | Mean |
|------------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Locally improved | 27 | 64 | 49 | 46 |
| Hybrid | 38 | 30 | 33 | 34 |
| Local | 35 | 6 | 18 | 20 |

2.2.2 Insect pests and their management

All farmers considered EFSB as a serious pest; Epilachna beetles and mites were next in importance (Table 4). Infestation by mites in Sainthia was quite serious at times and two-thirds of its farmers considered this arthropod to be a damaging pest of eggplant.

Table 4. Common pests of eggplant crops in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India

| Pest | Percentage of farmers | | | Mean |
|--|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Eggplant fruit and shoot borer (<i>Leucinodes orbinalis</i>) | 100 | 100 | 100 | 100 |
| Epilachna beetle (<i>Epilachna</i> spp.) | 85 | 42 | 45 | 58 |
| Mites (<i>Tetranychus</i> spp.) | 35 | 42 | 67 | 48 |
| Jassid (<i>Amrasca</i> spp.) | 29 | 27 | 21 | 26 |
| Whitefly (<i>Bemisia</i> spp.) | 3 | 6 | 49 | 19 |
| Armyworm (<i>Spodoptera</i> spp.) | 0 | 9 | 21 | 10 |
| Aphid (<i>Aphis</i> spp.) | 0 | 21 | 6 | 9 |
| Termite (Order Isoptera) | 0 | 3 | 0 | 1 |

Note: Some farmers named more than one species as serious pest of eggplant

Ninety-seven percent of farmers used pesticides to control EFSB (Table 5) and most (59%) pesticide applications were conducted during times of severe attack. Ninety-two percent of farmers initiated spraying after observing initial pest infestation. Bolpur farmers had a tendency of spraying only during severe infestations of the crop. Only 28% farmers supplemented pesticide use with other practices in controlling this pest.

Table 5. *Management of EFSB through pesticide application and other practices in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Practice | Percentage of farmers | | | Mean |
|-----------------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Use pesticides: | 100 | 97 | 94 | 97 |
| During severe attack | 85 | 49 | 42 | 59 |
| During initial attack | 21 | 30 | 15 | 22 |
| Before attack | 9 | 9 | 6 | 8 |
| Other practices | 44 | 21 | 18 | 28 |

Note: Some farmers gave more than one answer as to when they begin spraying pesticides

Most farmers (58%) felt that pesticide use was profitable; Bolpur farmers were most optimistic about pesticide use (Table 6). Among all blocks, 36% of farmers felt pesticides were not effective in reducing EFSB damage and only 3% of farmers asserted that pesticide use can reduce damage by more than 75%. Only 50% of farmers felt that the use of pesticides led to higher yields.

Table 6. *Effectiveness of pesticide application in controlling EFSB in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Item | Percentage of farmers | | | Mean |
|--|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| <i>Profitability of pesticide use</i> | | | | |
| Profitable | 74 | 67 | 33 | 58 |
| Incurred loss | 6 | 15 | 12 | 11 |
| Cannot say | 20 | 18 | 55 | 31 |
| <i>Damage reduction by pesticide use (%)</i> | | | | |
| Ineffective | 32 | 21 | 55 | 36 |
| Less than 25 | 23 | 36 | 12 | 24 |
| 25 to less than 50 | 32 | 19 | 18 | 23 |
| 50 to 75 | 9 | 21 | 12 | 14 |
| More than 75 | 3 | 3 | 3 | 3 |
| <i>Yield increase due to pesticide use</i> | | | | |
| Increased | 62 | 45 | 43 | 50 |
| Unchanged | 18 | 15 | 30 | 21 |
| Cannot say | 20 | 40 | 27 | 29 |

2.2.3 Pattern of pesticide use

In Birbhum District, eggplant is grown mostly during winter or “rabi” season. Nearly 89% farmers preferred to grow this crop during winter, 10% during summer, and only 1% farmers grew it during both seasons. The frequency of application of pesticide was higher in the winter season (54 sprays) compared to the summer (21 sprays) (Table 7).

Table 7. Frequency of pesticide use in controlling EFSB in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India

| Season | No. of pesticide applications | | | Mean |
|--------|-------------------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Winter | 45 | 71 | 44 | 54 |
| Summer | 35 | NA | 30 | 32 |

NA = Not applicable since eggplant was not grown in summer

The farmers of Illambazar block applied pesticides most often, 71 sprays during the winter season (Table 7) and 16 times during November alone (Fig. 2). In Bolpur block, where eggplant is also grown in summer, farmers applied 13.4 sprays per month in July (Fig. 2).

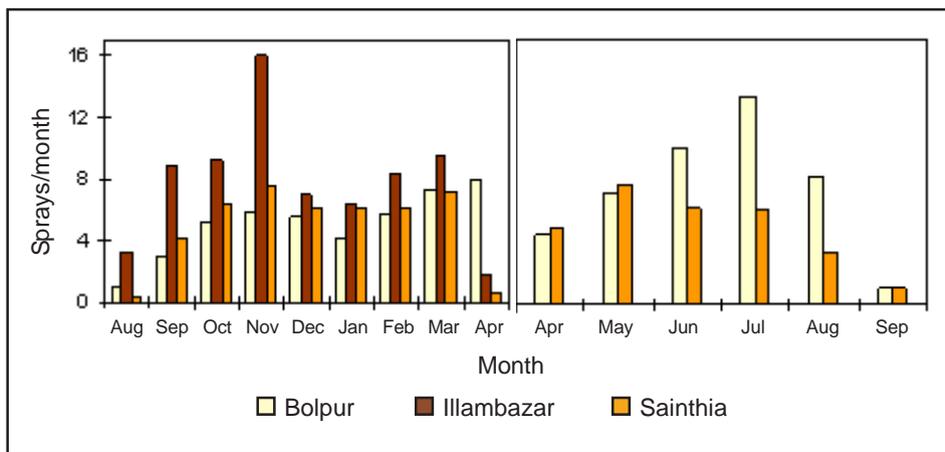


Fig. 2. Pattern of pesticide use on winter and summer eggplant crops in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India

The growers used various pesticides of different chemical groups in different formulations (Table 8). Among them, Triazophos 40 EC was very popular, used by 33% of farmers, closely followed by Cartap 50 SP by 31% of farmers. Among granular insecticides, Carbofuran 3G was used by 25% of farmers followed by Phorate 10G by 13% of farmers. Synthetic pyrethroids were used by 19 to 21% of farmers. Neem insecticide was used by only 1% of farmers. Insecticides like Cartap 50, Carbaryl 50WP, Phorate 10 G, Acephate 75% SP were applied at higher than recommended doses, while some others were used at below recommended doses.

Table 8. *Types of insecticide used to control EFSB in Birbhum District, West Bengal, India*

| Chemical | Farmers using (%) | Active ingredient (g/L or ml/L) | |
|-------------------------|-------------------|---------------------------------|--------------------|
| | | Applied | Recommended |
| Carbamate | | | |
| Cartap 50 SP | 31 | 1.26 | 1.00 |
| Carbofuran 3 G | 25 | 10.32 ¹ | 30.00 ¹ |
| Carbosulfan 25 EC | 3 | 1.83 | 2.00 |
| Carbaryl 50 WP | 1 | 2.50 | 2.00 |
| Organochlorine | | | |
| Endosulfan 35 EC | 23 | 1.02 | 2.00 |
| Organophosphate | | | |
| Triazophos 40 EC | 33 | 1.08 | 1.00 |
| Dimethorate 30 EC | 15 | 1.73 | 2.00 |
| Phorate 10 G | 13 | 15.08 ¹ | 10.00 ¹ |
| Prophenophos 50 EC | 11 | 1.00 | 1.50 |
| Quinalphos 25 EC | 10 | 1.42 | 2.00 |
| Monocrotophos 36 SL | 6 | 2.08 | 1.50 |
| Phosphamedon 40 SL | 5 | 1.10 | 1.50 |
| Acephate 75 SP | 4 | 1.00 | 0.75 |
| Methyl parathion 50 EC | 3 | 1.00 | 1.00 |
| Synthetic pyrethroids | | | |
| Alpha-cypermethrin 10EC | 21 | 1.02 | 1.00 |
| Cypermethrin 10 EC | 19 | 1.13 | 1.00 |
| Neem (Tritarphenoid) | | | |
| Azadirachtin 1500 PPM | 1 | 2.00 | 4.00 |

¹Dose in kg/ha

2.2.4 Farmers' awareness on pesticide use and related issues

Nearly 50% of farmers were aware of beneficial insects in their eggplant fields (Table 9). More than half (54%) of the farmers were aware of the harmful effects of pesticides on eggplant consumers and 41% were mindful of the danger it posed to laborers working in the field. Approximately 40% of farmers were aware of possible contamination of air and water by pesticides, and 26% were mindful of the adverse effect of these chemicals on beneficial insects.

Table 9. *Farmers' awareness about beneficial insects and harmful effects of pesticide use in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Issue | Percentage of farmers | | | Mean |
|---------------------------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Beneficial insects | 50 | 33 | 64 | 49 |
| Harmful effect of pesticide on: | | | | |
| Beneficial insects | 32 | 15 | 30 | 26 |
| Eggplant consumers | 59 | 39 | 64 | 54 |
| Eggplant crop laborers | 32 | 27 | 65 | 41 |
| Water pollution | 29 | 52 | 49 | 43 |
| Air pollution | 29 | 45 | 42 | 39 |

Although farmers were able to get information from various sources, pesticide sales agents were the main source of information regarding the use of chemicals to control EFSB (Table 10). Nearly 80% of the farmers relied on them. Neighbors and relatives were valued sources of information, but extension agents and radio broadcasts were not. This situation is identical to what is happening in neighboring Bangladesh (Rashid et al., 2003) and in other states of India (Alam et al., 2006).

Table 10. *Sources of technical information for the control of EFSB in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Source | Percentage of farmers | | | Mean |
|------------------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Pesticide sales agents | 82 | 73 | 82 | 79 |
| Neighbor | 76 | 85 | 45 | 69 |
| Relatives | 32 | 12 | 24 | 23 |
| Extension workers | 44 | 15 | 3 | 21 |
| Radio broadcast | 0 | 6 | 9 | 5 |

Note: Some farmers were using more than one source of information to decide upon pest control practices

2.2.5 Health hazards

Most farmers (59%) covered their faces with a piece of cloth as a protective measure while applying pesticides and slightly fewer (52%) covered their body with clothing (Table 11). Nineteen percent covered both their body and face, while 8% farmers did not adopt any protection measures.

Table 11. *Protective measures adopted during pesticide application by farmers in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Protective measure | Percentage of farmers | | | |
|---------------------------------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | Mean |
| Only face covered with cloth | 32 | 45 | 42 | 40 |
| Only body covered with cloth | 41 | 36 | 21 | 33 |
| Both body and face covered with cloth | 24 | 15 | 18 | 19 |
| No protection | 3 | 3 | 18 | 8 |

2.2.6 Costs and benefits

The average cost of production of eggplant was Rs.94,000/ha (US\$1 = Rs.44) and gross return was Rs.165,000/ha (Table 12). The average yield was 28.0 t/ha and the benefit-cost ratio was 1.76. Gross as well as net returns were highest in Sainthia in comparison with Bolpur and Illambazar. This is largely due to the higher yields in Sainthia. Eggplant cultivation in the study area was, thus, quite profitable. Being highly labor intensive and profitable, eggplant cultivation in this area should help generate employment, a chronic problem in countryside in West Bengal as well as much of India.

Table 12. *Economics of eggplant cultivation in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Item | Bolpur | Illambazar | Sainthia | Mean |
|-------------------------------------|--------|------------|----------|------|
| Cost of cultivation (000 Rs./ha) | 69 | 86 | 110 | 94 |
| Gross return (000 Rs./ha) | 101 | 164 | 191 | 165 |
| Net return (000 Rs./ha) | 32 | 78 | 81 | 71 |
| Average cost of production (Rs./kg) | 2.89 | 3.22 | 3.12 | 3.36 |
| Average price received (Rs./kg) | 4.77 | 4.46 | 5.46 | 4.91 |
| Eggplant yield (t/ha) | 23.9 | 26.7 | 35.3 | 28.0 |
| Benefit-cost ratio | 1.46 | 1.91 | 1.74 | 1.76 |

Yields in the survey area exceeded those of the national average, 16.1 t/ha (FAOSTAT data, 2006). All farmers in Sainthia exceeded the national average. Among all blocks, 40% of farmers obtained yields of 21–30 t/ha, 30% of farmers produced yields of 31–40 t/ha, 11% of farmers exceeded 40 t/ha (Table 13). In Sainthia, 28% of farmers exceeded 40 t/ha.

Table 13. *Yield distribution among eggplant growers in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Yield (t/ha) | Percentage of farmers | | | Mean |
|--------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| Less than 10 | 4 | 0 | 0 | 2 |
| 10 to 20 | 22 | 27 | 0 | 17 |
| 21 to 30 | 52 | 40 | 22 | 40 |
| 31 to 40 | 22 | 20 | 50 | 30 |
| More than 40 | 0 | 13 | 28 | 11 |

2.2.7 Post-harvest handling and marketing

For disposal of pest-damaged eggplant fruits, which become visible readily during harvest, farmers used various methods. Most farmers (86%) preferred to feed such fruits to cattle (Table 14). Fifty-six percent of farmers sold the damaged fruits at the market, albeit at lower prices. Only 1% farmers burned the damaged fruits in field. Damaged fruits were also consumed by 47% of the farmers themselves.

For disposal of plant residues at the end of the season, 88% farmers used crop residue as fuel. Only 8% of them destroyed the residues in the field. IPM strategy requires proper disposal of damaged shoots and fruits, as well as plant residues after final harvest, or else these plant parts become sources of EFSB re-infestation.

Table 14. *Disposal practices of damaged eggplant fruits and crop residues among farmers in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Item | Percentage of farmers | | | Mean |
|------------------------|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| <i>Damaged fruits</i> | | | | |
| Sale in market | 56 | 64 | 48 | 56 |
| Self consumption | 56 | 52 | 33 | 47 |
| Use as cattle feed | 88 | 76 | 94 | 86 |
| Use as manure | 71 | 39 | 30 | 47 |
| Burning in field | 0 | 3 | 0 | 1 |
| <i>Crop residue</i> | | | | |
| Fuel for household use | 88 | 85 | 91 | 88 |
| Stacked along bunds | 18 | 9 | 21 | 16 |
| Burning in field | 9 | 6 | 9 | 8 |

On-farm grading of fruits is a common practice before marketing of eggplant. Grading of fruits was done by 92% of growers in the survey area (Table 15). Similarly, 89% of farmers sold their produce at the local market and 11% sold only on the farm itself. Wholesalers (72%) and farias (petty traders) (47%) were the most active intermediaries in the marketing channel. Direct selling to consumers was done by only 12% of farmers, while selling to beparis (professional large volume traders) and retailers was each done by 24% growers.

Being a winter crop in this part of West Bengal, nearly 75% of the harvest was sold between January and April. The volume of sale was relatively even during January to April: January (21%), February (22%), March (13%), and April (18%). During summer months, a traditional rice growing season, few farmers planted this crop due to heavy rains, which at times results in flooding and diseases such as bacterial wilt (*Ralstonia solanacearum*).

Table 15. *Marketing behavior of eggplant growers in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Activity | Percentage of farmers | | | Mean |
|---|-----------------------|------------|----------|------|
| | Bolpur | Illambazar | Sainthia | |
| <i>Grading</i> | | | | |
| Yes | 92 | 94 | 90 | 92 |
| No | 8 | 6 | 10 | 8 |
| <i>Place of selling</i> | | | | |
| In local market | 88 | 88 | 91 | 89 |
| On farm | 12 | 12 | 9 | 11 |
| <i>Marketing intermediaries¹</i> | | | | |
| Wholesaler | 71 | 76 | 70 | 72 |
| Faria ² | 50 | 45 | 45 | 47 |
| Retailer | 24 | 21 | 27 | 24 |
| Bepari ³ | 21 | 27 | 24 | 24 |
| Consumers | 24 | 3 | 12 | 12 |
| Commission agents | 6 | 6 | 3 | 5 |

¹ Some farmers used more than one outlet to sell their produce

² Petty trader who purchases produce from growers in village or local market and sells to bepari

³ Professional trader who purchases produce from growers at local markets and sells to retainers through commission agents

3 Pesticide Misuse and Adoption of IPM

Our current survey of pesticide use in West Bengal and similar surveys conducted in Gujarat and Uttar Pradesh States of India revealed that there is gross overuse of pesticides in combating EFSB (Alam et al., 2006). A similar survey conducted three years earlier in neighboring Bangladesh also revealed similar overuse of pesticides in protecting eggplant crops (Rashid et al., 2003).

Adoption of this IPM strategy requires withholding of pesticide use for as long as possible to allow native natural enemies, which were getting decimated by the excessive use of pesticides, to survive and flourish. These natural enemies in combination with sex pheromone, pre-planting sanitation, and prompt cutting of EFSB-damaged shoots, establish a natural balance between the pest and its natural enemies. Adoption of this IPM strategy, therefore, will require a drastic reduction in pesticide use.

In this study, we analyzed pesticide use patterns to determine the factors that led farmers to overuse these toxic chemicals. Simultaneously, we studied both IPM adopters and non-adopters to determine the factors that led some farmers to embrace IPM while others refused it in spite of its obvious advantages.

3.1 Analysis tools

3.1.1 *Model I: Factors for misuse of pesticides*

In order to identify the factors responsible for misuse of pesticide use, an ordinary least squares (OLS) model was used with misuse index as dependent variable. A composite index for misuse of pesticides for individual farmers was constructed modifying the technique given by Roy et al. (2000). The modification is required as this technique attaches equal weights to all the indicators, and does not reflect their relative importance. Thus, a weighted index was constructed assigning suitable weights to each indicator. The selection of indicators as well as assigning weights was devised after consultation with agricultural as well as social scientists including statisticians. In this study, the following indicators were considered while developing the misuse indices:

1. Number of pesticide applications
Less or recommended number = 0; up to 25% excess = 1; up to 50% excess = 2; 50% or more excess = 3
2. Pesticide dose
Less or recommended dose = 0; up to 25% excess = 1; up to 50% excess = 2; 50% or more excess = 3
3. Use of pheromone trap
Full use = 0, partial use = 1; trial use = 2; no use = 3
4. Use of other IPM practices
Use all = 0; use two or more but not all = 1; use one = 2; use none = 3

5. Timeliness of pesticide application

Per government recommendations/no use = 0; delayed use until initial attack = 1; use without observing pests = 2; use after severe attack = 3

The composite index for i^{th} farmer was thus obtained as follows:

$$I_i = (w_j A_{ji}) / \sum w_j$$

Where, I_i is the misuse index of i^{th} farmer; A_{ji} is score for the j^{th} indicator for i^{th} farmer, and w_j is the weight associated with j^{th} indicator. The weights (w_j 's) were devised on a scale of 1 to 4 after consultation with the multidisciplinary research team.

A number of factors were hypothesized to affect pesticide misuse. These included the farmer's personal and household characteristics; availability of IPM components and alternative pest management technologies; awareness of negative effects of pesticides vis-à-vis IPM; decision maker's experiences and perceptions regarding the efficacy and efficiency of IPM components vis-à-vis pesticides; and other socio-economic factors such as land tenure status, availability of credit, trainings, and association with development organizations. A multiple linear regression equation (which turned out to provide the best fit) of the following type was estimated to identify the factors leading to misuse of pesticides in eggplant production.

3.1.2 Model II: Determinants of adoption of IPM

In order to identify the factors influencing adoption of IPM practices, a probit model was used. The dependent variable is dichotomous, taking a value of 1 if a farmer has used any of the IPM components, and 0 otherwise. A number of demographic, social, economic and biological factors were hypothesized to influence the adoption decision. These included the decision maker's personal and household characteristics; availability of IPM components and alternative pest management technologies; awareness about negative effects of pesticides; perceptions regarding usefulness, efficiency and convenience of IPM components vis-à-vis pesticides; and other social impediments. Most of these hypothesized factors were included in previous adoption studies. The original functional relationship was $Y_i^* = \beta_0 + \sum \beta_j X_{ij} + u_i$, where Y_i^* is not observed, i.e., a latent variable. We observed a dummy variable, Y_i , i.e., whether the respondent is using any IPM component or not, and defined it as:

$$Y_i = 1 \text{ if } Y_i^* > 0 \\ = 0 \text{ otherwise}$$

The general form of the probit model is: $P_i^* = F(\beta'X) = 1/[\exp(-\beta'X)]$ (Maddala, 1989)

The marginal effects were computed using the equation $\delta P / \delta X_{ij} = \beta_j P_i (1 - P_i)$.

3.2 Results

3.2.1 Model I: Results of the OLS model

Results of the OLS model are presented in Table 16. The F-value for the OLS model is significant at less than the 1% level, implying that the specification of the model is good. The adjusted R² value is also very high. The results show that the coefficient for decision makers' age and landholding size are positive, indicating that the level of pesticide misuse is more in the case of aged farmers and those farmers having large farm sizes. These farmers are not much inclined to adopt IPM, which is a new strategy in the study area.

The probability for pesticide misuse decreases as farming experience and level of education increases. Greater awareness about IPM technologies as well as awareness about technological failures of chemical pesticides also reduce the level of pesticide misuse. As expected, IPM training and membership with a farmer organizations reduces the level of pesticide misuse.

We found a highly significant and negative relationship between availability of IPM inputs and level of pesticide misuse, i.e., pesticide misuse can substan-

Table 16. *Socio-economic determinants of pesticide misuse in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Explanatory variables | Coefficient | Level of significance |
|--|-------------|-----------------------|
| Constant | 35.746 | 0.000 |
| Age (years) | 0.049 | 0.119 |
| Education (years of schooling) | -0.211 | 0.014 |
| Farming experience (years) | -0.163 | 0.006 |
| Size of land holding (hectare) | 0.504 | 0.044 |
| Land tenure status ¹ | -0.324 | 0.631 |
| Members of society/organization ² | -1.490 | 0.031 |
| Awareness about IPM ³ | -2.810 | 0.018 |
| Perception about profitability of IPM ⁴ | -2.220 | 0.068 |
| Awareness about health impairment due to pesticides ³ | -1.185 | 0.134 |
| Attended IPM training ⁵ | -1.148 | 0.006 |
| Degree of crop damaged by ESFB (%) | -0.038 | 0.179 |
| Availability of IPM inputs ⁶ | -18.286 | 0.000 |
| Easy availability of pesticides ⁶ | 3.008 | 0.006 |
| Information sources about pesticides ⁷ | 1.197 | 0.072 |
| Received credit ⁸ | -1.032 | 0.031 |
| Adjusted R ² | | 95.02 |
| F-Value | | 154.85 |
| No. of observations | | 130 |

¹Rated as 1 = owner/operator, 0 = otherwise; ²Rated as 1 = member, 0 = not member; ³Rated as 1 = aware, 0 = not aware; ⁴Rated as 1 = profitable, 0 = otherwise; ⁵Rated as 1 = attended, 0 = not attended; ⁶Rated as 1 = available, 0 = not available; ⁷Rated as 1 = pesticide dealer, 0 = otherwise; ⁸Rated as 1 = received credit, 0 = otherwise.

tially be reduced if IPM inputs can be made available in the study area. This is as we expected. During the field survey, it was observed that farmers were ready to procure the pheromone trap and lure even at a premium price. We also found a significant negative relationship between farmers' access to institutional credit and pesticide misuse. However, easy availability of chemical pesticides and aggressive marketing strategies (importance of information from a pesticide agent) by the pesticide dealers/agents increases the level of pesticide misuse substantially.

3.2.2 Model II: Results of the probit model

Results of the probit model are presented in Table 17. The threshold coefficient is positive and significant at the 2% level, implying that there is no specification error in the model. The McFadden R^2 value, chi-squared value, and estimated value for the log-likelihood functions are indicative of a good fit for the model.

Table 17. *Socio-economic determinants of adoption of IPM strategy in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Explanatory variables | Ordered probit estimates | | |
|--|--------------------------|-----------------------|------------------|
| | Coefficient | Level of significance | Marginal effects |
| Constant | 0.303 | 0.433 | - |
| Threshold coefficient | 0.563 | 0.021 | - |
| Age (years) | -0.010 | 0.054 | -0.001 |
| Education (years of schooling) | 0.001 | 0.374 | 0.001 |
| Awareness about IPM ¹ | 0.189 | 0.033 | 0.006 |
| Awareness about health impairment ¹ | 0.261 | 0.052 | 0.013 |
| Size of holding (hectare) | -0.092 | 0.016 | -0.001 |
| Eggplant area (hectare) | 0.266 | 0.235 | 0.048 |
| Degree of crop damaged by ESFB (%) | 0.096 | 0.041 | 0.004 |
| Availability of IPM inputs ² | 0.532 | 0.009 | 0.005 |
| Easy availability of pesticides ² | -0.096 | 0.103 | -0.009 |
| Perception about IPM | | | |
| Convenient ³ | 0.083 | 0.076 | 0.006 |
| Useful ³ | -0.007 | 0.149 | -0.001 |
| Economic ³ | 0.198 | 0.004 | 0.001 |
| Price premium ³ | 0.025 | 0.091 | 0.002 |
| McFadden R^2 | 0.517 | | |
| Chi-squared | 48.52 | | |
| Log-likelihood | -71.27 | | |
| P-Value | 0.021 | | |

¹ Rated as 1 = aware, 0 = not aware

² Rated as 1 = available, 0 = not available

³ Rated as 1 = yes, 0 = no

The most significant factors promoting adoption of the IPM strategy to control EFSB were the perceived economic benefits, availability of IPM inputs, farmers' awareness about IPM, the degree of crop damage due to EFSB, and perceived negative externalities of pesticide use on health. Although less significant, other contributing factors were the convenience of the technology and the possible price premiums for pesticide-free produce.

The most significant factor hindering the adoption of IPM technology was the size of landholding. Other factors limiting adoption were the easy availability of pesticides and the age of the decision maker.

The eggplant production area and education level of the decision maker did not have a significant bearing on the adoption decision.

4 Impact Assessment

4.1 Introduction

Integrated pest management (IPM) is a significant improvement in the management of insect pests and diseases of crops. Despite its techno-economic superiority over conventional chemical control, the adoption of IPM has been limited to only 2% of cropland treated with plant protection inputs (Birthal and Sharma, 2004). There are a number of technological, social, economical, institutional and policy factors restricting the adoption of IPM. Therefore, in recent years, progressive incorporation of socio-economic issues is viewed as an important component in farm research, development, and extension processes worldwide.

It is expected that significant gains will ensue from the adoption of IPM. While it is difficult to estimate the full extent of such gains, it is expected that the adoption of IPM of EFSB being promoted through this project will not only reduce the pesticide content in eggplant but also increase the profitability of eggplant cultivation.

IPM technologies have multiple dimensions of impacts. It is therefore important to evaluate the impact of IPM technologies in this project on individual eggplant growers and consumers as a whole.

4.2 Methodology

The crux of impact evaluation is a comparison of what did happen after implementing the project with what would have happened had the project not been implemented (Mohr, 1992). This comparison is the impact of the project. It also explores unintended positive or negative consequences on beneficiaries. Analyzing socio-economic factors in absence of the project is clearly problematic because it cannot be observed directly. Therefore, one needs to compare the impact with another controlled situation with a similar baseline condition. Best practices prescribed by experts suggest a construction of a 'with and without' approach with a 'before and after' approach that uses baseline and follow-up data. Therefore both 'with and without' and 'before and after' approaches are followed in this study. Accordingly, the sample household constitutes both farmers who are adopting IPM ('adopters', 100 farmers) and farmers who have not adopted IPM ('non-adopters', 30 farmers) in the same villages.

Further, such a program can have multiple impacts and there are several tools to measure such impacts including benefit-cost ratio (BCR), discounted cash flow analysis, the economic surplus model, and the impact indicator approach. The selection of a particular tool is based on certain factors such as the type of technology, the stakeholders and their spatio-temporal adoption pattern, the way research and development are conducted, the legitimacy of using estimates of

averages in place of distribution, the risk factor associated with the technology, and the stability of the benefits imputed to the technology. In this study, considering the above aspects, we have followed the impact indicator approach as well as the economic surplus model. The impact of IPM technology may have economic and social dimensions; the former relates to the potential for enhancing levels of yield, profit and price, while the latter is concerned with health, convenience, and perceptions. Adoption of IPM also helps in raising the awareness about modern farm technologies and related issues. The following indicators were considered for analysis in this study:

- a. Increase in yield and percentage of EFSB-free eggplant fruits
- b. Income augmentation (annual income)
- c. Better price realization
- d. Pesticide content in eggplant (number of pesticide applications)
- e. Employment generation/labor cost
- f. Change in cropping pattern/crop diversification
- g. Change in perception toward IPM vis-à-vis non-IPM

4.3 Economic surplus model

The ex-ante impact analysis of agricultural technology is increasingly gaining importance now by many national and international institutions. Such an exercise is difficult and uncertain but helps the research-extension-development system before popularizing any technology. It builds on the body of knowledge gained from ex-post analysis of research, and also involves a more demanding prediction of expected effects on a speculative basis. Many impact assessment models have been proposed in the recent literature but few have been institutionalized into a decision making practice of national and international agricultural research systems.

The economic surplus model is one such tool having wide acceptance internationally, and thus, is used in this study. This model has the advantage of incorporating several criteria related to economic efficiency and distribution into one or two measures. However this method can be difficult to apply to a large number of commodities or areas because types of data necessary for such analysis are very large and often do not exist. Like any ex-ante analysis, this model incorporates expert opinions or crude estimates on expected research impacts (e.g., expected yield gain or expected price), adoption rates, demand and supply elasticities, and probabilities of success of the technology; and thus, the accuracy levels of the results are constrained by the availability of best estimates on the above items of observation.

In the economic surplus model, economic impacts (or returns to investment) are measured by the changes in consumer and producer surpluses that result from

technological change. The approach recognizes the changes in costs of production, supplies and prices resulting from the technological change and consequently the changes in the welfare of consumers and producers. Consumer and producer surpluses are commonly used empirical measures of how much better (or worse) consumers or producers are when commodity prices are altered. Consumer surplus is defined as the area under the demand curve and above the price line. It represents a willingness to pay beyond what is actually paid. Producer surplus is defined as the area below the price line and above the supply curve. It measures the excess of gross receipts over total variable costs. These effects include both changes in the prices of the commodity as a result of changes in yields, and changes in economic welfare following altered patterns of consumption and production of that commodity. A graphical representation of the concept of the economic surplus model is shown in Fig. 3.

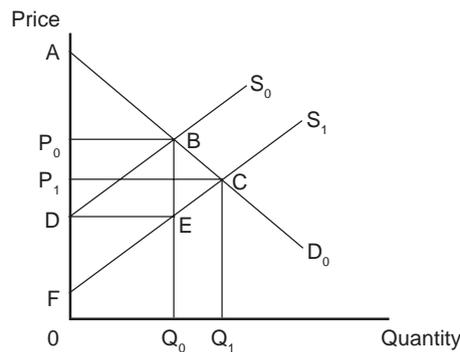


Fig. 3. *Effects of technological change on economic surplus*

This figure illustrates the effect of technological change on economic surplus. The supply curve with the original technology is S_0 and the demand curve is D_0 . The corresponding equilibrium quantity and price are Q_0 and P_0 , respectively. The consumer surplus in this case is ABP_0 and the producer surplus is DBP_0 . Adoption of the technology, which reduces the unit cost of production and increases the yield, shifts the supply curve rightwards from S_0 to S_1 . This results in a new equilibrium quantity and price, i.e., Q_1 and P_1 . The consumer surplus now is ACP_1 and the producer surplus is FCP_1 . The consumer gains from the new technology because they can consume more at a lower price, and the producer gains because their unit cost of production is reduced due to increase in yield. The net social benefit or economic surplus is the sum of consumer and producer surplus. The size of this benefit depends on the elasticity of demand and the nature of supply shift, i.e., the functional form of the supply curve. In the case of IPM, the available literature provides little guidance on the nature of shifting supplies. BIRTHAL (2003) suggests that one can expect a parallel shift in the supply curve in case of IPM in India. Therefore, assuming a parallel shift, change in consumer surplus (ΔCS),

change in producer surplus (ΔPS), and change in economic surplus (ΔES) is calculated as follows:

$$\Delta CS = ACP_1 - ABP_0 = BCP_1 P_0$$

$$\Delta PS = FCP_1 - DBP_0 = CEDP_1$$

$$\Delta ES = BCP_1 P_0 + CEDP_1$$

Mathematically the surpluses are estimated using the following equations:

$$\Delta CS = P_0 Q_0 Z(1 + 0.5Z\eta)$$

Where, $Z = k\varepsilon / (\varepsilon + \eta)$, ε is price elasticity of commodity supply, η is price elasticity of demand and k is the proportionate supply shift. The shift factor k is calculated as:

$$k = \{(Y/\varepsilon - C/(1 + Y))pA(1 - \delta)\}$$

Where, Y is the proportionate change in yield, C is the proportionate change in variable costs, A is the adoption rate for the technology, p is the probability of research success and δ is the annual rate of depreciation of the technology. However, in such evaluation, p and δ can be ignored (Birthal, 2003).

The change in producer surplus (ΔPS) is estimated as:

$$\Delta PS = (k - Z) P_0 Q_0 (1 + 0.5Z\eta)$$

The change in economic surplus (ΔES) is estimated as summing the change in consumer and producer surpluses:

$$\Delta ES = P_0 Q_0 k(1 + 0.5Z\eta)$$

Demand and supply elasticity parameters are crucial in the economic surplus model. The magnitude of demand elasticity largely depends on the income level of the consumer and his/her preferences for the commodity in the consumption basket. The magnitude for supply elasticity is dependent on the profitability of the commodity and the availability of land, among many other factors. In this study, estimates of demand and supply elasticity of vegetables have been taken from another relevant study conducted by the Indian Agricultural Research Institute (Kumar, 1998). This is a limitation of this study but perhaps the best possible solution given the non-availability of data.

The impact of this project is significant both in terms of reducing the cost of growing eggplant as well as in increasing returns from eggplant production. Growers who adopted IPM practices experienced increases of 4.7%, 34.0% and 53.8% in yield, percentage of pest-free fruit, and profit at nominal price, respectively (Table 18). However, for non-adopters there was no increase in yield and the returns regarding percentage of pest-free fruit and profit were less than those of adopters. Similarly, pest management expenses decreased 45.2% for adopter farm-

ers while it increased 30.2% for non-adopters. Also, the labor requirement (human days/ha) decreased by 5.9% for adopters but increased by 1.2% for non-adopters.

Besides costs and returns, there are some structural impacts of IPM on changes in cropping patterns and pesticide usage. Adopters of IPM increased their production area by 21.6% while non-adopters reduced their production area by 8.7%. Farmers adopting IPM practices sprayed pesticides 52.6% less often than before; however, non-adopters sprayed 14.1% more often. The cropping intensity of adopters rose by 4.0% compared to only 1.0% for non-adopters.

Table 18. *Impact of IPM on eggplant production in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Impact indicators | Before (2001–2003) | | Present (2003–2005) | | Change (%) | |
|----------------------------------|--------------------|-------------|---------------------|-------------|------------|-------------|
| | Adopter | Non-adopter | Adopter | Non-adopter | Adopter | Non-adopter |
| <i>Returns</i> | | | | | | |
| Yield (t/ha) | 298 | 328 | 312 | 328 | +4.7 | 0.0 |
| Pest-free fruit (%) | 53 | 56 | 71 | 57 | +34.0 | +1.8 |
| Profit at nominal price (Rs./ha) | 133,795 | 129,500 | 205,075 | 170,500 | +53.8 | +31.7 |
| <i>Costs</i> | | | | | | |
| Pesticide + IPM (Rs./ha) | 22,754 | 14,870 | 12,460 | 19,363 | -45.2 | +30.2 |
| Labor (human days/ha) | 510 | 492 | 481 | 498 | -5.9 | +1.2 |
| <i>Others</i> | | | | | | |
| Eggplant area (% of cropland) | 6.02 | 1.96 | 7.32 | 1.79 | +21.6 | -8.7 |
| Pesticide sprays (no./season) | 39.9 | 45.0 | 18.9 | 51.4 | -52.6 | +14.1 |
| Cropping intensity (%) | 164 | 168 | 168 | 169 | +4.0 | +1.0 |

All farmers adopting IPM technology agreed that the high cost of pesticide was a reason for adopting IPM. Other reasons stated by farmers for adopting IPM were convenience of IPM practices (stated by 91% of adopters), potential health hazards of pesticides (75%), and profitability of IPM strategy (71%) (Table 19). Similarly, reasons stated by non-adopters for rejecting IPM practices included no premium on price for IPM produce (100%), unavailability of traps and lures (100%), easy availability of pesticides (98%), and lack of training on IPM practices (13%).

Table 19. *Reasons for adoption or non-adoption of IPM in eggplant production in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Adopter | | Non-adopter | |
|--|-------------|-------------------------------------|-------------|
| Incentive | (% farmers) | Constraint | (% farmers) |
| High cost of pesticide | 100 | No premium on price for IPM produce | 100 |
| Convenience of IPM practices | 91 | Unavailability of traps and lures | 100 |
| Potential health hazards of pesticides | 75 | Easy availability of pesticides | 98 |
| Profitability of IPM strategy | 71 | Lack of training on IPM practices | 13 |

There has been a considerable change in perception about pest management practices among the eggplant growers. Nearly all (99%) of the adopters would like to continue using IPM practice next year and 73% of non-adopters plan on switching to IPM next year (Table 20). Adopters were satisfied with the IPM technology because it was very useful and efficient (99%), more convenient (98%), and increased profits (92%). Forty-eight percent of adopters expressed concern over health hazards related to pesticides and 10% of adopters expect there will be increased demand for pesticide-free eggplant in the near future.

Table 20. *Changes in perception regarding pest management practices in eggplant production among adopters and non-adopters of IPM in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Items | Percentage of farmers | |
|---|-----------------------|--------------|
| | Adopters | Non-adopters |
| Planning to adopt IPM next year | 99 | 73 |
| IPM practices are very useful/efficient | 99 | - |
| IPM use is more convenient | 98 | - |
| Profit increased due to IPM practices | 92 | - |
| IPM practices reduced health hazards | 48 | - |
| Predict increased demand for pesticide-free eggplant in near future | 10 | 7 |

Ninety-four percent of IPM adopters reduced their use of pesticides; the remaining 6% used the same amount of pesticides as before (Table 21). In contrast, 53% of non-adopters increased their use of pesticides, with the remaining 47% using the same amount as before.

Table 21. *Changes in pesticide use in eggplant production among adopters and non-adopters of IPM in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India from 2001–2005*

| Pesticide use | Percentage of farmers | |
|---------------|-----------------------|--------------|
| | Adopters | Non-adopters |
| Increased | 0 | 53 |
| Reduced | 94 | 0 |
| No change | 6 | 47 |

4.4 Results of the economic surplus model

The results obtained from the economic surplus model, as described in the previous section, are presented in Table 22. Farm-level economic benefits of the IPM strategy are scaled up to the level of the study area (Birbhum District) by multiplying the per hectare benefit from the technology and the potential area on which it can be adopted, i.e., the area under eggplant in the district.

It is noteworthy that the size of economic surplus for adopting the IPM strategy in the study area is quite large, of which the producers gain a larger share compared to consumers in the total surplus generated. The internal rate of return and benefit-cost ratio values are also very high, indicating large potential economic impact of the IPM technology. Economic benefits of the IPM strategy appear to be attractive enough to induce farmers to adopt it.

Table 22. *Estimated economic impact of IPM control strategy for EFSB in Bolpur, Illambazar and Sainthia blocks of Birbhum District, West Bengal, India*

| Measurement | Impact |
|--------------------------------|--------|
| Economic surplus (Rs. million) | 325.77 |
| Consumer surplus (%) | 37 |
| Producer surplus (%) | 63 |
| Benefit-cost ratio | 2.78 |
| Internal rate of return (%) | 38 |

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Appendix

1 Questionnaire for field survey

Date of interview _____

Respondent _____ District _____ Thana/Taluka _____

Union _____ Village _____

Respondent information

1. Gender: Male _____ Female _____
2. What is your age? _____ years
3. What is highest grade/year in school you have completed? _____
4. Farming experience
 - Agricultural farming experience: _____ years
 - Eggplant farming experience: _____ years
5. Total cultivated land: _____ ha
 - Total cultivable vegetable land: _____ ha
 - Total cultivated vegetable land: _____ ha
 - Total eggplant cultivated land (2001): _____ ha
 - (2002): _____ ha
 - (2003): _____ ha
6. Eggplant variety cultivated: _____
 - Source of seeds: self / outside
 - Source of seedlings: self / outside
 - Date of transplanting: _____
7. Land tenure status
 - Owner-operated: _____ ha
 - Rented in: _____ ha
 - Mortgage in: _____ ha
 - Rented out: _____ ha
 - Mortgage out: _____ ha
 - Other (specify) _____ ha _____

8. Labor force data:

| Age | Persons (no.) | Level of education | Persons (no.) |
|------------------------|------------------|--------------------|------------------|
| Below 14 years | _____ | Illiterate | _____ |
| Between 15 to 59 years | _____ | Primary | _____ |
| Above 59 years | _____ | Secondary | _____ |
| | | College and above | _____ |

9. Are you a member of any farmers' organization? Yes / No

If yes, which farmers' organizations are you a member of? (✓)

- | | |
|--------------------------------------|------------------------------------|
| _____ Village panchayat | _____ Thana/taluka panchayat |
| _____ Farmers' association | _____ Village co-operative society |
| _____ Marketing co-operative society | _____ Milk co-operative society |
| _____ Youth club | _____ Other |

10. Have you attended any training on pest management? Yes / No

If yes, what was the training about?

Who organized the training?

11. What cropping pattern(s) do you follow? (✓)

- _____ Eggplant–eggplant
 _____ Eggplant–rice
 _____ Eggplant–wheat
 _____ Eggplant–potato
 _____ Eggplant–other vegetables
 _____ Eggplant–other crops

Pest management practices

12. What pests of eggplant did you have in the last cropping season?

- a) _____ b) _____
 c) _____ d) _____

13. Do you know about the eggplant fruit and shoot borer (EFSB)? Yes / No

If yes, how can you identify the pest?

What is the local name of the pest?

When do you think the damage is worst?

14. What percentage of your total eggplant production was damaged by EFSB during:

2002: _____ %

2003: _____ %

15. How did you control this pest? (✓)
- _____ Apply pesticide
 _____ Hand picking/shoot clipping
 _____ Other method(s), please specify: _____
16. When did you take action to apply pesticides? (✓)
- _____ After severe attack
 _____ After initial attack
 _____ Without observing any insect
 _____ As per government recommendations
 _____ Schedule-based sprays
 _____ Not at all
17. List the number of times you applied pesticides at particular stage(s) of the crop. What chemicals did you apply during those stages?
- | Crop stage | No. of application(s) | Pesticide name(s) | Qty. of pesticide (s) | Rationale |
|------------|-----------------------|-------------------|-----------------------|-----------|
| a) | | | | |
| b) | | | | |
| c) | | | | |
| d) | | | | |
| e) | | | | |
18. How many days apart were pesticide applications?
- | | | |
|---------------|----------------|-----------------|
| _____ January | _____ February | _____ March |
| _____ April | _____ May | _____ June |
| _____ July | _____ August | _____ September |
| _____ October | _____ November | _____ December |
19. How many days after spraying did you wait before harvesting eggplant?
- | | | |
|---------------|----------------|-----------------|
| _____ January | _____ February | _____ March |
| _____ April | _____ May | _____ June |
| _____ July | _____ August | _____ September |
| _____ October | _____ November | _____ December |
20. In general, estimate the percentage of damage reduced by the insecticides that you used (✓)
- | | |
|---------------------|---------------------|
| _____ less than 25% | _____ 50–75% |
| _____ 25–50% | _____ more than 75% |
21. Do you have any idea about natural enemies of pests? Yes / No
 If yes, which of the following are useful? (show color photographs) (✓)
- _____ Larva of EFSB _____ Spider _____ Coccinellid beetle

22. What is your opinion about the deleterious effect of pesticides (✓)
- Natural enemies mortality
 - Water pollution
 - Air pollution
 - Harmful to farm labor
 - Injurious to health of people and animal
 - Harmful to crops
 - Reduces profits
23. Was there any pesticide(s) which was not effective at all after spraying?
Yes / No
If yes, name the pesticide(s): _____
24. How do you spray pesticide(s)? (✓)
- With sprayer machine
 - Other means (please specify): _____
25. If you use a sprayer machine, from where do you get it? (✓)
- Personally owned
 - Rented from other source (please specify): _____
26. Do you smoke/chew tobacco? (Yes / No)
If yes, when?
 During spraying Between spraying After spraying
27. Do you wash your hands after spraying? Yes / No
If yes, with: water only / use soap / use soil
28. Who sprays pesticides on your crop? (Yourself / Hired laborer)
29. What protective measures do you adopt during pesticide spraying? (✓)
- Cover face with cloth
 - Cover body and face with cloth
 - Other means
30. From where do you get pest control advice? (✓)
- Neighbor
 - Extension technician/block supervisors
 - Relatives
 - Pesticide dealers
 - Radio
 - TV
 - Other sources (please specify): _____
31. Where do you purchase/collect pesticide(s)? _____
Do you pay cash or buy on credit? _____

32. Do you agree that applying pesticides to eggplant will boost up the yield?(✓)
 _____ Agree
 _____ Disagree
 _____ Not sure
33. In the last cropping season did you borrow money for eggplant production?
 Yes / No
 If yes, from which of these sources did you borrow money? (✓)
 _____ Bank (government/private) at an interest rate of _____ %
 _____ Co-operative society at an interest rate of _____ %
 _____ Private source at an interest rate of _____ %
 _____ Relative/friend at an interest rate of _____ %
34. What was your eggplant yield and market price for last season?
 _____ kg per ha, and sold at a price of _____ Tk or Rs./kg
35. How much money did you spend last season to cultivate eggplant? (Tk or Rs./ha)
- | | |
|--|------------------------------|
| _____ Human labor | _____ Animal labor |
| _____ Machine power | _____ Sprayer machine |
| _____ Seed | _____ Cowdung /oil cake |
| _____ Farmyard manure | _____ Urea |
| _____ Triple superphosphate | _____ Diammonium phosphate |
| _____ Muriate of potash | _____ Ammonium sulphate |
| _____ Liquid fertilizer/micronutrients | _____ Pesticides |
| _____ Growth regulators/hormones | _____ Irrigation |
| _____ Rental value of land | _____ Others (specify) _____ |
36. What was the net return from eggplant cultivation last year? _____ Tk/
 Rs./ha

Post-harvest care/cleanup

37. How do you dispose of crop residue? (✓)
 _____ Stack along bunds. If yes, for how long? _____
 _____ Use as fuel
 _____ Burn in field after drying
38. When do you plow the field after uprooting? (✓)
 _____ Immediately
 _____ After one week
 _____ After one month

39. How do you dispose of your damaged fruits? (✓)

- | | |
|---|---|
| <input type="checkbox"/> Sell in the market | <input type="checkbox"/> Self consumption |
| <input type="checkbox"/> Cattle feed | <input type="checkbox"/> Throw on bunds |
| <input type="checkbox"/> Other | |

40. Do you provide advice to other farmers regarding eggplant cultivation? (Yes/No)

41. Do you grade the harvest (Yes / No)

42. Where do you sell your eggplant? (✓)

- | |
|--|
| <input type="checkbox"/> Farm sale |
| <input type="checkbox"/> Local market sale |
| <input type="checkbox"/> Other (please specify): _____ |

43. Types of intermediaries to whom you sell their eggplant (%):

- | |
|---|
| <input type="checkbox"/> Bepari |
| <input type="checkbox"/> Faria |
| <input type="checkbox"/> Wholesaler |
| <input type="checkbox"/> Retailer |
| <input type="checkbox"/> Commission Agent |
| <input type="checkbox"/> Consumer |

44. Monthwise sale of eggplant (kg):

- | | | |
|----------------------------------|-----------------------------------|------------------------------------|
| <input type="checkbox"/> January | <input type="checkbox"/> February | <input type="checkbox"/> March |
| <input type="checkbox"/> April | <input type="checkbox"/> May | <input type="checkbox"/> June |
| <input type="checkbox"/> July | <input type="checkbox"/> August | <input type="checkbox"/> September |
| <input type="checkbox"/> October | <input type="checkbox"/> November | <input type="checkbox"/> December |

45. Monthwise price received from eggplant (Tk/Rs./kg):

- | | | |
|----------------------------------|-----------------------------------|------------------------------------|
| <input type="checkbox"/> January | <input type="checkbox"/> February | <input type="checkbox"/> March |
| <input type="checkbox"/> April | <input type="checkbox"/> May | <input type="checkbox"/> June |
| <input type="checkbox"/> July | <input type="checkbox"/> August | <input type="checkbox"/> September |
| <input type="checkbox"/> October | <input type="checkbox"/> November | <input type="checkbox"/> December |

2 Questionnaire for impact assessment

Date of interview _____

Respondent _____

Village _____ Block _____ P.S. _____ District _____

1. Are you aware of IPM practice in brinjal? (Yes / No)
If yes, did you adopt the same? (Yes / No)

What are the reasons for adoption of non-adoption? (✓)

| Adopters | Non-adopters |
|------------------------------------|--|
| ___ High cost of pesticides | ___ Lack of awareness |
| ___ Health hazards (potential) | ___ Non-availability of trap |
| ___ IPM brinjal fetch higher price | ___ Non-availability of pheromone/lure |
| ___ Profitable | ___ Lack of training/extension on IPM |
| ___ Convenient | ___ Chemical pesticides are convenient/easily available |
| ___ Others: _____ | ___ Others: _____ |

2. Where do you sell your brinjal and to whom?

3. Area under eggplant

2–3 years before: _____ ha

At present: _____ ha

At present under IPM: _____ ha

4. Did you change your cropping pattern during the last 2–3 years? (Yes / No)
Reason for your action (changing or not changing):

5. Please complete:

| Season | Pattern and land area (ha) | |
|--------|----------------------------|------------|
| | 2–3 years before | At present |
| Kharif | | |
| Rabi | | |

6. What source of IPM do you use?

a) Newspaper (Yes / No). If yes, state newspaper _____

b) Magazine (Yes / No). If yes, state magazine _____

c) TV (Yes / No). If yes, state program and channel _____

d) Radio (Yes / No). If yes, state program and channel _____

e) Friends (Yes / No)

f) Relatives (Yes / No)

g) Pheromone and/or pesticide vendors (Yes / No)

h) Others (Yes / No). If yes, please state _____

7. Which of the following IPM components do you use: (✓)
- Cutting damaged shoot
 - Pheromone
 - Withholding pesticide use despite some damage
 - Botanical or other bio-product. If yes, specify: _____
 - Sanitation (removal of old plant debris)
 - Others, specify: _____

8. For each pesticide used, please describe how you used it:
- | | 2–3 years before | | | | At present | | | |
|----|------------------|------|---------------|---------------------|------------|------|---------------|---------------------|
| | Pesticide | Dose | Stage of crop | No. of applications | Pesticide | Dose | Stage of crop | No. of applications |
| a. | | | | | | | | |
| b. | | | | | | | | |
| c. | | | | | | | | |

9. Profit from eggplant in a year (Rs./ha)
- a) Before: _____ b) Now: _____

10. Are you getting a better price for pesticide residue-free products as compared to other products (Yes / No)

11. Do you feel IPM is:
- Convenient? (Yes / No) Useful? (Yes / No) Economic? (Yes / No)

12. What are your perceptions of IPM vis-à-vis chemical pesticide use?
- a) Do you think use of chemical pesticide is a must? (Yes / No)
 - b) Do you think consumption of brinjal grown with pesticides is injurious to health? (Yes / No)
 - c) Do you think over time, there will be a demand for pesticide-free brinjal in your locality? (Yes / No)

13. Will you continue to use IPM (Yes / No)
- Please state reason: _____

14. What was your source of: lure _____ trap _____
- What was the cost of: lure _____ trap _____

15. Please complete the following table:
- | Item | 2–3 years before | At present |
|--------------------------|------------------|------------|
| Yield of brinjal (qt/ha) | | |
| Marketable fruit (%) | | |
| Price received (Rs./kg) | | |
| Labor use (no./ha) | | |
| - Family labor | | |
| - Hired labor | | |
| Pesticide cost (Rs./ha) | | |
| Cost of IPM (Rs./ha) | | |



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